



Facilities Design  
and Construction

# **PROFESSIONAL SERVICES AGREEMENT – BLANKET**

**BETWEEN**

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

**AND**

**CONSULTANT OR LABORATORY NAME**

**MONTH/day/year**

# **PROFESSIONAL SERVICES AGREEMENT – BLANKET**

## **TABLE OF CONTENTS**

### **COVER PAGE**

#### **I. CONSULTANT SERVICES AND RESPONSIBILITIES**

#### **II. TERM**

#### **III. GENERAL PROVISIONS**

#### **IV. COMPENSATION**

#### **V. INDEMNIFICATION AND INSURANCE**

A. INDEMNIFICATION

B. INSURANCE

#### **VI. STATUTORY AND OTHER REQUIREMENTS**

A. NONDISCRIMINATION

B. PREVAILING WAGE RATES

C. PAYROLL RECORDS

D. APPRENTICES

E. WORKDAY

F. PATIENT HEALTH INFORMATION

G. INFECTIOUS ILLNESS PRECAUTIONS

H. CODE OF CONDUCT

#### **VII. NOTICES**

#### **VIII. AUTHORITY OF AGREEMENT**

A. AUTHORITY OF AGREEMENT

B. EXHIBITS

### **SIGNATURE PAGE**

PROFESSIONAL SERVICES AGREEMENT – BLANKET

BETWEEN

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

AND

{CONSULTANT OR LABORATORY NAME}

This AGREEMENT is made on the \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_ between The Regents of the University of California, a California corporation, hereinafter called "University" and {CONSULTANT OR LABORATORY NAME}, a {INSERT FORM OF ENTITY e.g. a California corporation, a Partnership, etc.}, holder of all necessary and applicable licenses required for the performance of the services described in this Agreement, hereinafter called "Consultant," to furnish certain services upon the following terms and conditions:

I. CONSULTANT SERVICES AND RESPONSIBILITIES

A. The Consultant shall furnish the following services:

1. Act as a consultant to UC Davis Health, Facilities Design and Construction, to perform {BRIEF DESCRIPTION OF SERVICES} as required and authorized by the University. Under this Agreement, the consultant may perform pre-design services but in no event does this Agreement authorize the preparation of any design documents, including Schematic Design.

The University will authorize the Consultant to perform specific services by the issuance of a Written Authorization(s) on the form contained in the Exhibits. Each Written Authorization will state the specific services to be performed, the schedule for their completion, and the method of compensation in accordance with paragraph IV.

2. Furnish drawings, documents, reports, surveys, renderings, exhibits, models, prints, and photographs, and other materials as required and as authorized by the University.

II. TERM

A. Order Period. The period of time for issuance of written Authorizations to Perform Services (hereinafter "Order Period") shall be from MM/DD/YYYY to MM/DD/YYYY.

B. Period of Performance. The period of performance under the Agreement shall be as specified in any written Authorizations to Perform Services, or subsequent revisions thereto, issued during the Order Period. However, the period of performance shall not commence prior to the date of execution of any such written Authorization.

C. University-initiated Termination

1. If the University determines that the Consultant has failed to perform in accordance with the terms and conditions of this Agreement, the University may terminate all or part of the Agreement for cause. This termination shall become effective if the Consultant does not cure its failure to perform within 10 days (or more, if authorized in writing by the University) after receipt of a notice of intention to terminate from the University specifying the failure in performance. If a termination for cause does occur, the University shall have the right to withhold monies otherwise payable to the Consultant until the services under this Agreement are completed. If the University incurs additional costs, expenses, or other damages due to the failure of the Consultant to properly perform pursuant to the Agreement, these costs, expenses, or other damages shall be deducted from the amounts withheld. Should the amounts withheld exceed the amounts deducted, the balance will be paid to the Consultant upon completion of the services to be provided under this Agreement. If the costs, expenses, or other damages incurred by the University exceed the amounts withheld, the Consultant shall be liable to the University for the difference.

2. University may terminate this Agreement for convenience at any time upon written notice to Consultant, in which case University will pay Consultant for all services performed and all expenses incurred under this Agreement up to and including the effective date of termination less any costs, expenses or other damages due to the failure of the Consultant to properly perform pursuant to the Agreement. In ascertaining the services actually rendered up to the date of termination, consideration will be given to both completed Work and Work in progress, whether delivered to University or in the possession of Consultant, and to authorized Reimbursable Expenses. No other compensation will be payable for anticipated profit on unperformed services.

D. Consultant-initiated Termination

Consultant may terminate this Agreement for cause if the University fails to cure a material default in performance within a period of 30 days, or such longer period as the Consultant may allow, after receipt from the Consultant of a written termination notice specifying the default in performance. In the event of termination for cause by the Consultant, the University will pay the Consultant in accordance with paragraph II.C.2.

### III. GENERAL PROVISIONS

- A. Independent Contractor. The Consultant shall perform the services hereunder as an independent contractor and not as an agent or employee of the University.
- B. Consultant Hiring. The Consultant shall not hire any officer or employee of the University to perform any service covered by this Agreement. If the service is to be performed in connection with a federal contract or grant, the Consultant shall not hire any employee of the United States government to perform any service covered by this Agreement.
- C. Subconsultants. The Consultant shall cooperate with other professionals employed by the University in the production of other work related to its services. Subject to approval by the University, the Consultant shall contract for or employ, at its expense, such professional subconsultants, as the Consultant deems necessary for the completion of the services. The Consultant may hire the services of subconsultants with University approval in place of or in addition to those employed or retained by the Consultant. The Consultant is as responsible for the performance of its subconsultants as it would be if it had rendered these services itself. Nothing in the foregoing procedure shall create any contractual relationship between the University and the professionals employed by the Consultant under the terms and conditions of this Agreement. The Consultant is solely responsible for payment of any subconsultants.
- D. Legal and Regulatory Compliance. The Consultant shall perform all services and prepare documents in compliance with the applicable requirements of laws, codes, rules, regulations, ordinances, and standards.
- E. Copyright, Ownership and Use of Materials. Consultant hereby assigns to the University all right, title, and interest, including, but not limited to, copyright and all copyright rights, in all Materials created by Consultant in its performance under this Agreement and/or delivered to the University hereunder and shall execute any documents necessary to effectuate such assignment, with the exception that Consultant hereby grants to the University an irrevocable, fully-paid up, royalty-free license to use any document provided to the University including without limitation any document known as a "detail." Consultant warrants that it has the lawful right to grant the foregoing license to the University. In the event Consultant uses any individual who is not a full-time employee of Consultant or entity to perform any work required of it pursuant to this Agreement, Consultant shall require said individual or entity to sign an agreement containing identical wording as the foregoing with the exception that word "Consultant" is to be replaced with the individual's or entity's name. Materials constitute all written and other tangible expressions, including, but not limited to, drawings, documents, reports, surveys, renderings, exhibits, models, prints, photographs, etc. All Materials furnished by the Consultant hereunder shall be and shall remain the property of the University. In the event of Agreement termination by either party for any reason, as provided under this Agreement, the University will have the right to receive, and the Consultant shall promptly provide to the University, all drawings, documents, reports, surveys, renderings, exhibits, models, prints, photographs, and other materials prepared by the Consultant for the services under this Agreement. In the event of termination, and any dispute regarding the amount to be paid under this Agreement

notwithstanding, the University retains the right to receive and use any such documents or materials any dispute regarding the amount to be paid under this Agreement notwithstanding. The foregoing provisions shall survive the term and termination of this Agreement.

- F. Consultant's Accounting Records. All books and records relating to this Agreement shall be maintained in accordance with generally accepted accounting principles. University or University's authorized representative shall have access to and the right to audit and the right to copy all of Consultant's books and records. Consultant records shall include but not be limited to accounting records (hard copy, as well as computer readable data if it can be made available); contracts; payroll records; subconsultant agreements; vendor agreements; purchase orders; leases; original estimates; estimating work sheets; correspondence; receipts; memoranda; and any other supporting evidence deemed necessary to substantiate charges under this agreement. All such books and records shall be preserved for a period of at least 3 years from the date of Final Payment under this Agreement.
- G. Conflict of Interest. The Consultant affirms that to the best of its knowledge there exists no actual or potential conflict between the Consultant's family, business, or financial interests (including services provided to another client) and the services provided under this Agreement, and that in the event of a change in either the private interests or services under this Agreement, any questions regarding a possible conflict of interest that may arise as a result of this change shall be disclosed in writing to the University. The Consultant shall not be in a reporting relationship to a University employee who is a near relative, nor shall the near relative be in a decision-making position with respect to the Consultant.
- H. Successors and Assigns. If the Consultant transacts business as an individual, upon the Consultant's death or incapacitation, the University will automatically terminate this Agreement as of the date of such event. If so terminated, neither the Consultant nor the Consultant's estate shall have any further right to perform hereunder, and University shall pay the Consultant, or the Consultant's estate, the prorated unpaid compensation due under Article IV for any services rendered prior to this termination.

If there is more than one Consultant, and any one of them dies or becomes incapacitated, and the others continue to render the consulting services covered herein, the University will make payments to those continuing as though there had been no death or incapacitation; the University will not be obliged to take any account of the person who died or became incapacitated or to make any payment to this person or this person's estate. These provisions shall apply in the event of progressive or simultaneous occasions of death or incapacitation among any group of persons named as Consultant herein; if death or incapacitation befalls the last member of this group before the services of this Agreement are fully performed, then the rights shall be as if there had been only one Consultant.

This Agreement shall be binding upon the University and the Consultant and their respective successors and assigns. Neither the performance of this Agreement nor any part thereof, nor any monies due or to become due hereunder, may be assigned by the Consultant without the prior written consent and approval of the University.

- I. Information Furnished by University. If required for the performance of the Consultant's services, the University will furnish information, surveys, reports, as-builts, and other materials at the University's expense.
- J. Statistical Reporting. At the commencement of performance, Consultant shall complete and submit, and require each Subconsultant who performs services under this Agreement to complete and submit, a Self-Certification on the form contained in the Exhibits. At the completion of work and prior to final payment, Consultant shall complete and submit a Final Distribution of Contract Dollars under this Agreement on the form contained in the Exhibits.
- K. Confidentiality. The Consultant shall use his or her best efforts to keep confidential a) any information produced or created by Consultant under this Agreement including but not limited to test results, sampling results, data, plans and reports; b) any information provided by the University and marked "Confidential Information"; or c) any oral information conveyed to the Consultant by the University and followed by a written communication within thirty (30) days that said information shall be considered Confidential Information. In the event that Consultant determines that it has a legal obligation to disclose such Confidential Information pursuant to a third-party demand, Consultant shall notify the University in writing of its receipt of such demand and of Consultant's determination that it has a legal obligation to disclose Confidential Information. Consultant shall not disclose any

such Confidential Information until at least ten (10) days from the date of receipt by University of Consultant's written notice. This nondisclosure provision shall not apply to any of the following:

1. Information which the Consultant can demonstrate by written records was known to him or her prior to the effective date of this Agreement;
  2. Information that is currently in, or in the future enters, the public domain other than through a breach of this Agreement or through other acts or omissions of Consultant; or
  3. Information that is obtained lawfully from a third party.
- L. Survival. The provisions of this Agreement which by their nature survive expiration or termination of the Agreement or Final Completion of any related Project or the performance of services under this Agreement, including any and all warranties, confidentialities, indemnities, payment obligations, and University's right to audit Consultant's books and records, shall remain in full force and effect after any expiration or termination of the Agreement or Final Completion of any related Project or the performance of services under this Agreement.
- M. UC Fair Wage. Consultant/Design Professional shall pay all persons providing services and/or any labor on site, including any University location, no less than UC Fair Wage (defined as \$13 per hour as of 10/01/2015, \$14 per hour as of 10/01/2016, and \$15 per hour as of 10/01/2017) and shall comply with all applicable federal, state and local working condition requirements.
- N. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same Agreement. The counterparts of this Agreement may be executed via a University approved digital signature process and shall have the same force and effect as the use of a manual signature. The University reserves the right to reject any digital signature that cannot be positively verified by the University system as an authentic digital signature.

**(INSERT THE FOLLOWING LANGUAGE IF CONSULTANT WAS AWARDED THE AGREEMENT THROUGH THE SHELTERED APPLICANT POOL PROGRAM)**

- O. This Agreement shall be governed by the University of California Sheltered Applicant Pool program. Prior to performing services hereunder, Consultant shall substantiate that a minimum of 51% or more of the work to be provided herein shall be performed by a Small Business Enterprise (SBE) or Disabled Veteran Business Enterprise (DVBE), including any services provided by subconsultants. Consultant and any subconsultants must complete and submit a UC Confirmation of Certification form. Consultant's failure to meet the 51% minimum SBE/DVBE requirement shall be reviewed by the University, and, at the University's discretion, may be cause for removal from the Sheltered Applicant Pool program. The definitions of SBE and DVBE are as follows:
1. Small Business Enterprise (SBE) - an independently owned and operated concern certified as a small business by the California Department of General Services Office of Small Business, and Disabled Veteran Enterprise Services (OSDS) or other accepted certifying agency.
  2. Disabled Veteran Business Enterprise (DVBE) - an independently owned and operated concern certified as a DVBE by the State of California Office of Small Business and Disabled Veteran Enterprise Services (OSDS) or other accepted certifying agency.
  3. Accepted certifying agencies as referenced in 1 and 2 above can be found at <https://ucop.edu/sbe-dvbe-certifications>

**IV. COMPENSATION**

- A. Compensation payable by University under this Agreement shall not exceed **\$100,000**.
- B. The University will have the right to withhold payment from Consultant for any unsatisfactory service until such time service is performed satisfactorily.

- C. The University will compensate the Consultant for the scope of services provided in accordance with this Agreement, computed as follows:
1. For each written authorization, a maximum payment shall be established that shall not be exceeded without the prior written approval of the University.
  2. All fees shall be in accordance with the Consultant Rate Schedule contained in the Exhibits. Unless otherwise provided in the Consultant Rate Schedule, rates shall not be changed except in accordance with paragraph VIIIA. Alternatively, a lump-sum fee may be negotiated.
  3. Payments to the Consultant shall be made monthly, subsequent to the University's receipt of an invoice itemizing the fees and reimbursable expenses for each written authorization for the month invoiced.
  4. Reimbursable expenses are actual expenditures made by the Consultant and the Consultant's employees and subconsultants in accordance with the "Reimbursement Schedule" contained in the Exhibits. Such reimbursable expenses will be paid in addition to the fees for Services under this Agreement.

## **V. INDEMNIFICATION AND INSURANCE**

### **A. INDEMNIFICATION**

1. Consultant shall indemnify, defend, and hold harmless University and its Regents, officers, employees, agents, and representatives (collectively, "Indemnitee"), against all liability, demands, claims, costs, damages, injury including death, settlements, and expenses (including without limitation, interest and penalties) incurred by Indemnitee ("Losses") arising out of the performance of services or Consultants other obligations under this Agreement, but only in proportion to and to the extent such Losses are caused by or result from (1) the negligent acts or omissions of Consultant, its officers, agents, employees, subcontractors, subconsultants, or any person or entity for whom Consultant is responsible (collectively, "Indemnitor"); (2) the breach by Indemnitor of any of the provisions of this Agreement; or (3) willful misconduct by Indemnitor.
2. The indemnification obligations under this Article V shall not be limited by any assertion or finding that (1) the person or entity indemnified is liable by reason of non-delegable duty, or (2) the Losses were caused in part by the negligence of, breach of contract by, or violation of law by Indemnitee. The obligation to defend shall arise regardless of any claim or assertion that Indemnitee caused or contributed to the Losses. Indemnitor's reasonable defense costs (including attorney and expert fees) incurred in providing a defense for Indemnitees shall be reimbursed by University except to the extent such defense costs arise, under principles of comparative fault, from Indemnitor's (a) negligent acts or omissions; (b) breach of any of the provisions of this Agreement; or (c) willful misconduct.
3. Consultant shall indemnify, defend, and save harmless Indemnitee from and against all loss, cost, expense, royalties, claims for damages or liability, in law or in equity, including, without limitation, attorney fees, court costs, and other litigation expenses that may at any time arise or be set up for any infringement (or alleged infringement) of any patent, copyright, trade secret, trade name, trademark or any other proprietary right of any person or entity in consequence of the use by Indemnitee of any documents (including any method, process, product, concept specified or depicted) supplied by Indemnitor in the performance of this Agreement.
4. Nothing in this Agreement, including the provisions of this Article V, shall constitute a waiver or limitation of any rights which Indemnitee may have under applicable law, including without limitation, the right to implied indemnity.

### **B. INSURANCE**

1. Insurance Requirements. Consultant, at Consultant's sole cost and expense, shall insure its activities in connection with this Agreement, and shall obtain, keep in force, and maintain

insurance as listed below. The coverages required under paragraph V.B. shall not in any way limit the liability of the Consultant.

- a. Commercial Form General Liability Insurance with coverage and minimum limits as follows:

<b>i.</b>	<b>Each Occurrence</b>	<b>\$1,000,000</b>
<b>ii.</b>	<b>Products Completed; Operations Aggregate</b>	<b>\$1,000,000</b>
<b>iii.</b>	<b>Personal and Advertising Injury</b>	<b>\$1,000,000</b>
<b>iv.</b>	<b>General Aggregate</b>	<b>\$1,000,000</b>

- b. Business Automobile Liability Insurance for owned, scheduled, non-owned, and hired automobiles, with a combined single limit of no less than **\$1,000,000** per accident.

- c. Professional Liability Insurance, with minimum limits of **\$1,000,000** per claim and **\$1,000,000** in the aggregate.

- d. If the above insurance (subparagraphs V.B.1.a – V.B.1.c) is written on a claims-made basis, it shall be maintained continuously for a period of no less than 3 years after the date of Final Completion of the services authorized pursuant to each Written Authorization executed. The insurance shall have a retroactive date of placement prior to, or coinciding with, the date services are first provided that are governed by the terms of this Agreement and shall include, without limitation, coverage for professional services as called for in this Agreement. Insurance required by subparagraphs V.B.1.a-V.B.1.c shall be (i) issued by companies that have a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's).

- e. Workers' Compensation and Employer's Liability Insurance as follows:

- i. Worker's Compensation: as required by Federal and State of California law.

- ii. Employer's Liability: **Each Employee \$1,000,000**  
**Each Accident \$1,000,000**  
**Policy Limit \$1,000,000**

- iii. Insurance required by this subparagraph V.B.1.e shall be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) that are acceptable to the University.

- f. Consultant, upon the execution of this Agreement, shall furnish University with Certificate of Insurance evidencing compliance with this Article V., including the following requirements:

- i. Consultant shall have the insurance company complete University's Certificate of Insurance on the form contained in the Exhibits. If Consultant's insurance company refuses to use the University's Certificate of Insurance form, it must provide a Certificate of Insurance (and endorsements, if needed) evidencing compliance with Paragraph V.B. and Special Provisions 1 and 2 on the Certificate of Insurance Exhibit. It alone constitutes evidence of insurance.

- ii. If insurance policies are canceled for non-payment, University reserves the right to maintain policies in effect by continuing to make the policy



payments and assessing the cost of so maintaining the policies against Consultant.

- iii. University, University's officers, agents, employees, consultants, University's Representative, and University's Representative's consultants, regardless of whether or not identified in the Contract Documents or to Consultant in writing, will be included as additional insureds on Consultant's general liability policy for and relating to the Work to be performed by Consultant and Subcontractors. Consultant's general liability insurance policy shall name University as an additional insured pursuant to additional insured endorsement CG2010 (11/85) or a combination of both CG 2010 (10/01 or 07/04) and CG 2037 (10/01 or 07/04). The General Liability coverage shall contain a Severability of Interest provision and shall be primary insurance as respects The Regents of the University of California, its officers, agents and employees. Any insurance or self-insurance maintained by The Regents of the University of California shall be excess of and non-contributory with this insurance. This requirement shall not apply to Worker's Compensation and Employer's Liability Insurance. The Professional Liability insurance policy shall include Contractual Liability Coverage or endorsements to the insurance policies for Contractual Liability Coverage for liability that would exist in the absence of the contract.
- iv. The General Liability and the Professional Liability insurance policies shall apply to the negligent acts, or omissions of Consultant, its officers, agents, employees, and for Consultant's legal responsibility for the negligent acts or omissions of its subconsultants and anyone directly or indirectly under the control, supervision, or employ of Consultant or Consultant's subconsultants.

## **VI. STATUTORY AND OTHER REQUIREMENTS**

### **A. NONDISCRIMINATION**

1. In connection with the performance of the Consultant pursuant to this Agreement, the Consultant shall provide equal treatment to, and shall not willfully discriminate against or allow harassment of any employee or applicant for employment on the basis of: race; color; religion; sex; age; ancestry; national origin; sexual orientation; physical or mental disability; veteran's status; medical condition (as defined in Section 12926 of the State of California Government Code and including cancer-related medical conditions and or genetic characteristics); genetic information (as defined in the Genetic Information Nondiscrimination Act of 2008 and including family medical history); marital status; gender identity, pregnancy, or citizenship (within the limits imposed by law or University's policy) or service in the uniformed services (as defined by the Uniformed Services Employment and Reemployment Rights Act of 1994). Contractor will also take affirmative action to ensure that any such employee or applicant for employment is not discriminated against on any of the bases identified above. Such equal treatment shall apply, but not be limited to the following: employment; upgrade; demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Consultant also agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause. The Consultant will, in all solicitations or advertisements for employees placed by or on behalf of the Consultant, state that qualified applicants will receive consideration for employment without regard to: race; color; religion; sex; age; ancestry; national origin; sexual orientation; physical or mental disability; veteran's status; medical condition (as defined in Section 12926 of the State of California Government Code and including cancer-related medical conditions and or genetic characteristics); genetic information (as defined in the Genetic Information Nondiscrimination Act of 2008 and including family medical history); marital status; gender identity, pregnancy, or citizenship (within the limits imposed by law or University's policy) or service in the uniformed services (as defined by the Uniformed Services Employment and Reemployment Rights Act of 1994). For purposes of this provision: (1) "Pregnancy" includes pregnancy, childbirth, and medical conditions related to pregnancy and childbirth; and (2) "Service in

the uniformed services" includes membership, application for membership, performance of service, application for service, or obligation for service in the uniformed services.

**B. PREVAILING WAGE RATES**

1. For purposes of this Article, the term subcontractor or subconsultant shall not include suppliers, manufacturers, or distributors.
2. Consultant shall comply and shall ensure that all Subcontractors comply with prevailing wage law pursuant to the State of California Labor Code, including but not limited to Sections 1770, 1771, 1771.1, 1772, 1773, 1773.1, 1774, 1775, 1776, 1777.5, and 1777.6 of the State of California Labor Code. Compliance with these sections is required by this Contract. The Work under this Contract is subject to compliance monitoring and enforcement by the State of California Department of Industrial Relations. References to Covered Services hereinafter shall mean services performed pursuant to this Agreement that are covered by the aforementioned provisions as implemented by the State of California Department of Industrial Relations.
3. The State of California Department of Industrial Relations has ascertained the general prevailing per diem wage rates in the locality, if any, listed in the written authorization for the performance of construction, alteration, demolition or repair work as defined in Section 1720 of the State of California Labor Code for each craft, classification, or type of worker required to perform the Covered Services hereunder. A schedule of the general prevailing per diem wage rates will be on file at University's principal facility office and will be made available to any interested party upon request. By this reference, such schedule is made part of this Agreement. Consultant shall pay not less than the prevailing wage rates, as specified in the schedule and any amendments thereto, to all workers employed by Consultant in the execution of the Covered Services hereunder. Consultant shall cause all subcontracts or subconsultant agreements to include the provision that all subcontractors or subconsultants shall pay not less than the prevailing wage rates to all workers employed by such subcontractor or subconsultants in the execution of the Covered Services hereunder. Consultant shall forfeit to University, as a penalty, not more than \$200 for each calendar day, or portion thereof, for each worker that is paid less than the prevailing wage rates as determined by the Director of Industrial Relations for the work or craft in which the worker is employed for any portion of the Covered Services hereunder performed by Consultant or any subcontractor or subconsultant. The amount of this penalty shall be determined by the Labor Commissioner pursuant to applicable law. Such forfeiture amounts may be deducted from the Consultant fee. Consultant shall also pay to any worker who was paid less than the prevailing wage rate for the work or craft for which the worker was employed for any portion of the Covered Services hereunder, for each day, or portion thereof, for which the worker was paid less than the specified prevailing per diem wage rate, an amount equal to the difference between the specified prevailing per diem wage rate and the amount which was paid to the worker.

**C. PAYROLL RECORDS**

1. Consultant and all subcontractors or subconsultants shall keep an accurate payroll record, showing the name, address, social security number, job classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyperson, apprentice, or other employee employed in connection with the Covered Services hereunder. All payroll records shall be certified as being true and correct by Consultant or subcontractors or subconsultants keeping such records; and the payroll records shall be available for inspection at all reasonable hours at the principal office of Consultant on the following basis:
  - a. A certified copy of an employee's payroll record shall be made available for inspection or furnished to such employee or the employee's authorized representative on request.
  - b. A certified copy of all payroll records shall be made available for inspection upon request to University, the State of California Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards of the State of California Division of Industrial Relations.

- c. A certified copy of all payroll records shall be made available upon request by the public for inspection or copies thereof made; provided, however, that the request by the public shall be made to either University, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement. The public shall not be given access to such records at the principal offices of Consultant or subcontractors or subconsultants. Any copy of the records made available for inspection as copies and furnished upon request to the public or any public agency by University shall be marked or obliterated in such a manner as to prevent disclosure of an individual's name, address, and social security number. The name and address of Consultant awarded the Agreement or performing the Agreement shall not be marked or obliterated.
2. Consultant shall file a certified copy of the payroll records with the entity that requested the records within 10 days after receipt of a written request. Consultant shall inform University of the location of such payroll records for the written authorization, including the street address, city, and county; and Consultant shall, within 5 working days, provide notice of change of location of such records. In the event of noncompliance with the requirements of this Paragraph or with the State of California Labor Code Section 1776, Consultant shall have 10 days in which to comply following receipt of notice specifying in what respects Consultant must comply. Should noncompliance still be evident after the 10-day period, Consultant shall forfeit to University, as a penalty, \$100 for each day, or portion thereof, for each worker, until strict compliance is accomplished. Such forfeiture amounts may be deducted from the Consultant fee.

#### D. APPRENTICES

1. Only apprentices, as defined in the State of California Labor Code Section 3077, who are in training under apprenticeship standards and written apprentice agreements under Chapter 4, Division 3, of the State of California Labor Code, are eligible to be employed by Consultant and subcontractors or subconsultants as apprentices for the Covered Services hereunder. The employment and training of each apprentice shall be in accordance with the provisions of the apprenticeship standards and written apprentice agreements under which the apprentice is training and in accordance with prevailing wage law pursuant to the Labor Code, including but not limited to Section 1777.5. The Consultant bears responsibility for compliance with this section for all apprenticeable occupations.
2. Every apprentice shall be paid the standard wage to apprentices, under the regulations of the craft or trade at which the apprentice is employed and shall be employed only for the Covered Services hereunder in the craft or trade to which the apprentice is indentured.
3. When Consultant or subcontractors or subconsultants employ workers in any apprenticeship craft or trade for the Covered Services hereunder, Consultant or subcontractors or subconsultants shall apply to the joint apprenticeship committee, which administers the apprenticeship standards of the craft or trade in the locality, if any, listed in the written authorization for the performance of construction, alteration, demolition or repair work as defined in Section 1720 of the State of California Labor Code, for a certificate approving Consultant or subcontractors or subconsultants under the apprenticeship standards for the employment and training of apprentices in the locality so identified. The committee will issue a certificate fixing the number of apprentices or the ratio of apprentices to journeypersons who shall be employed in the craft or trade on the Covered Services hereunder. The ratio will not exceed that stipulated in the apprenticeship standards under which the joint apprenticeship committee operates; but in no case shall the ratio be less than 1 hour of apprentice work for every 5 hours of journeyperson work, except as permitted by law. Consultant or subcontractors or subconsultants shall, upon the issuance of the approval certificate in each such craft or trade, employ the number of apprentices or the ratio of apprentices to journeypersons fixed in the certificate issued by the joint apprenticeship committee or present an exemption certificate issued by the Division of Apprenticeship Standards.
4. "Apprenticeship craft or trade," as used in this Paragraph, shall mean a craft or trade determined as an apprenticeship occupation in accordance with rules and regulations prescribed by the Apprenticeship Council.

5. If Consultant or subcontractors or subconsultants employ journeypersons or apprentices in any apprenticeship craft or trade in the locality, if any, listed in the written authorization for the performance of construction, alteration, demolition or repair work as defined in Section 1720 of the State of California Labor Code, and there exists a fund for assisting to allay the cost of the apprenticeship program in the trade or craft, to which fund or funds other contractors in the locality so identified are contributing, Consultant and subcontractors or subconsultants shall contribute to the fund or funds in each craft or trade in which they employ journeypersons or apprentices on the Covered Services hereunder in the same amount or upon the same basis and in the same manner done by the other contractors. Consultant may include the amount of such contributions in computing its compensation under the Agreement; but if Consultant fails to do so, it shall not be entitled to any additional compensation therefore from University.
6. In the event Consultant willfully fails to comply with this Paragraph VI.D, it will be considered in violation of the requirements of the Agreement.
7. Nothing contained herein shall be considered or interpreted as prohibiting or preventing the hiring by Consultant or subcontractors or subconsultants of journeyperson trainees who may receive on-the-job training to enable them to achieve journeyperson status in any craft or trade under standards other than those set forth for apprentices.

E. WORKDAY

1. Consultant shall not permit any worker providing Covered Services to labor more than 8 hours during any 1 day or more than 40 hours during any 1 calendar week, except as permitted by law and in such cases only upon such conditions as are provided by law. Consultant shall forfeit to University, as a penalty, \$25 for each worker employed in the execution of this Agreement by Consultant, or any subcontractors or subconsultant, for each day during which such worker is required or permitted to work providing Covered Services more than 8 hours in any 1 day and 40 hours in any 1 calendar week in violation of the terms of this Paragraph or in violation of the provisions of any law of the State of California. Such forfeiture amounts may be deducted from the compensation otherwise due under this Agreement. Consultant and each subcontractor or subconsultant shall keep, or cause to be kept, an accurate record showing the actual hours worked each day and each calendar week by each worker employed under this Agreement, which record shall be kept open at all reasonable hours to the inspection of University, its officers and agents, and to the inspection of the appropriate enforcement agency of the State of California.

F. PATIENT HEALTH INFORMATION

1. Consultant acknowledges that its employees, agents, subcontractors, consultants and others acting on its behalf may come into contact with Patient Health Information ("PHI") while performing work at the Project Site. This contact is most likely rare and brief (e.g. walking through a clinic where patient files may be visible, overhearing conversations between physicians while working or touring a hospital, noticing a relative or acquaintance receiving treatment in a University facility, etc.). Consultant shall immediately notify University Representative of any such contact. Any and all forms of PHI should not be examined closer, copied, photographed, recorded in any manner, distributed or shared. Consultant will adopt procedures to ensure that its employees, agents and subcontractors refrain from such activity. If Consultant, its employees, agents or subcontractors do further examine, copy, photograph, record in any manner, distribute or share this information, Consultant will report such actions immediately to the University Representative. Consultant will immediately take all steps necessary to stop any such actions and will ensure that no further violations of this contractual responsibility will occur. Consultant will report to University Representative within five (5) days after Consultant gives University Representative notice of the event/action of the steps taken to prevent future occurrences.

G. INFECTIOUS ILLNESS PRECAUTIONS

1. The University is committed to avoiding the transmission of infectious diseases in and within the hospital. The Consultant shall inform all workers on the job site not to enter the hospital if they suspect they have a communicable illness that could be transmitted.

2. All employees of Consultant and its Subconsultants working at the University are encouraged to have an annual influenza vaccine. During Flu Season, all of Consultant's and its Subconsultants' job site workers may be required to show verification that they received the flu vaccine.
3. All employees of Consultant and its Subconsultants shall comply with all current COVID-19 prevention, screening, masking, vaccination, testing, and any other related requirements at the UC Davis Health project jobsite according to State and County mandates and University policies.

#### H. CODE OF CONDUCT

1. The usage of all types of two-way radio devices MUST be approved. Usage of cellular phone devices within 3 feet of any medical device is not allowed. In areas where there are signs indicating "Cell Phones Must Be Turned Off", cell phone must be POWERED OFF, not simply in vibrate or silent mode. "Airplane" mode with Wi-Fi ON is acceptable in "OFF" areas.
2. You will be responsible for your employees conduct while on the job site, i.e., whistling, profanity, garbage, dress code, etc. You are required to inform your employees working at the construction site that the University is strongly opposed to sexual harassment and that such behavior is prohibited both by law and by University policy. It is the intention of the University to take whatever action may be needed to prevent, correct, and, if necessary, discipline behavior which violates this policy.
3. For the health and safety of patients, visitors, volunteers, and staff; smoking, the use of smokeless tobacco products, and the use of unregulated nicotine products (e-cigarettes) is not allowed on any UC Davis owned or leased property, indoors and outdoors, including, parking lots, roadways and residential spaces. Consultant is responsible for enforcement of this policy with all employees, workers, vendors, suppliers, and subconsultants at the job site.
4. You are required to establish a policy of non-discriminatory practice in all personnel actions.
5. In accordance with UCDMC Hospital Policies and Procedures Policy ID: 2202, identification badges are required and must be worn at all times while on campus. Consultant shall email the Project Manager to request identification badges for all its employees and subconsultants that will be working at UC Davis Health job sites.
6. The University strives to maintain campus communities and worksites free from the illegal use, possession, or distribution of alcohol or of controlled substances, as defined in schedules I through V of the Controlled Substances Act, 21 United States Code section 812, and by regulation at 21 Code of Federal Regulations section 1308. Unlawful manufacture, distribution, dispensing, possession, use, or sale of alcohol or of controlled substances by University employees and students in the workplace, on University premises, at official University functions, or on University business is prohibited. In addition, employees and students shall not use illegal substances or abuse legal substances in a manner that impairs work performance, scholarly activities, or student life.
7. The University prohibits and has zero tolerance for workplace violence. Any disruptive behavior, act of intimidation, threat of violence or act of violence committed against any person and or property is prohibited.

#### VII. NOTICES

- A. *University.* Any notice may be served upon the University by delivering it, in writing, to the University at the address set forth on the last page of this Agreement, by depositing it in a United States Postal Service deposit box with the postage fully prepaid and with the notice addressed to the University at the aforementioned address, or by sending a facsimile of it to the University facsimile number set forth on the last page of this Agreement.
- B. *Consultant.* Any notice may be served upon the Consultant by delivering it, in writing, to the Consultant at the address set forth on the last page of this Agreement, by depositing it in a United

States Postal Service deposit box with the postage fully prepaid and with the notice addressed to the Consultant at this address, or by sending a facsimile of it to the Consultant facsimile number set forth on the last page of this Agreement.

#### **VIII. AUTHORITY OF AGREEMENT**

A. This Agreement represents the entire and integrated agreement between the University and the Consultant and supersedes all prior negotiations, representations, or agreements, either written or oral. This Agreement may be modified only by a written instrument signed by both the University and the Consultant and the written instrument shall be an Amendment on the form contained in the Exhibits.

B. This Agreement includes the following Exhibits attached herewith:

Exhibit A:	Written Authorization to Perform Services (Scope of Duties Attachment)
Exhibit B:	Amendment
Exhibit C:	Consultant Rate Schedule for Additional Services
Exhibit D:	Reimbursement Schedule
Exhibit E:	Certificate of Liability Insurance
Exhibit F:	Confirmation of Certification
Exhibit G:	Final Distribution of Contract Dollars

IN WITNESS WHEREOF, the UNIVERSITY and the CONSULTANT have executed this Agreement as of **Month, Day, Year (see Cover Page)**.

**CONSULTANT:**

**{FIRM NAME}**

By: **{NAME}**  
**{TITLE}**

---

(Signature)

---

(Date)

CONSULTANT ADDRESS:

**Address**  
**City, State, Zip Code**

CONSULTANT TELEPHONE NUMBER:

**###-###-####**

CONSULTANT E-MAIL:

**e-mail**

**UNIVERSITY:**

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

By: Jason Nietupski  
Executive Director  
Facilities Planning and Development  
UC Davis Health

---

(Signature)

---

(Date)

UNIVERSITY ADDRESS:

Facilities Design and Construction  
UC Davis Health  
4800 2<sup>nd</sup> Avenue, Suite 3010  
Sacramento, CA 95817

UNIVERSITY TELEPHONE NUMBER:

916-734-7024

UNIVERSITY E-MAIL:

**ca@ucdavis.edu**

## PROFESSIONAL SERVICES AGREEMENT – BLANKET

### EXHIBITS TABLE OF CONTENTS

Exhibit A:	Written Authorization to Perform Services (Scope of Duties Attachment)
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**EXHIBIT A**  
**WRITTEN AUTHORIZATION TO PERFORM SERVICES**

**AUTHORIZATION #**  
**PROFESSIONAL SERVICES AGREEMENT**  
UC DAVIS HEALTH  
Project Name  
Project #  
Sub #

Day/Month/Year

**FIRM NAME**  
ADDRESS  
CITY/STATE/ZIP CODE  
(XXX) XXX-XXXX  
(XXX) XXX-XXXX Fax

**AUTHORIZATION NO:**  
SERVICE TYPE:  
SUBJECT:

**DESCRIPTION OF SERVICES AUTHORIZED:**

**AGREEMENT:**

**TIME & AMOUNT AUTHORIZED:**

**APPROVED:**  
UNIVERSITY: THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

**CONSULTANT SIGNATURE:**

BY: \_\_\_\_\_  
Project Manager Date

\_\_\_\_\_  
Firm Name Date

**FUNDS APPROVED BY:**

**AUTHORIZATION FULLY EXECUTED:**

\_\_\_\_\_  
FD&C CAPITAL FINANCE Date

\_\_\_\_\_  
FD&C CAPITAL FINANCE Date

All Billings must reference the subject project and authorization number or they will be returned.

**DISTRIBUTION:**  
Consultant  
Project Manager  
FD&C Capital Finance  
File 16-12.2

**MAIL INVOICES TO:**  
Attention: Karen Brown, Capital Finance  
Facilities Design & Construction  
UC Davis Health  
4800 2<sup>ND</sup> Avenue, Suite 3010  
Sacramento, CA 95817

**EXHIBIT B**  
**AMENDMENT NO. { }**

The Agreement between the Regents of the University of California and {FIRM NAME}, dated {MONTH} {DAY}, {YEAR}, to act as a Consultant to UC Davis Health is hereby amended as follows:

{Facility to Insert modifications to the Agreement. For example, the term of the Agreement may be extended.}

All terms and conditions of this Agreement shall remain in full force and effect unless expressly modified herein or by another duly executed Amendment.

IN WITNESS WHEREOF, the UNIVERSITY and the CONSULTANT have executed this Amendment on the {DAY} day of {MONTH} , {YEAR}

**CONSULTANT:**

{FIRM NAME}

By: {NAME}  
{TITLE}

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**UNIVERSITY:**

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

By: Jason Nietupski  
Executive Director  
UC Davis Health, Facilities Planning and Development Division

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**EXHIBIT C**  
**CONSULTANT RATE SCHEDULE FOR ADDITIONAL SERVICES**

**FIRM NAME**

**Effective Date:** Month/day/year

The rates established by this Rate Schedule are effective for all Written Authorizations to Perform Services issued while this Agreement is in effect. Revisions, if any, to the rates will be made by issuing an amended Rate Schedule with a new effective date. A Written Authorization to Perform Services issued prior to the effective date of any such amended Rate Schedule will be paid at the rates established in the Rate Schedule in effect at the time the Written Authorization to Perform Services was signed and will not be automatically increased by any such amended Rate Schedule.

The services under this Agreement will be compensated in accordance with the following Rate Schedule unless a lump-sum fee is established in the applicable Written Authorization to Perform Services.

<u>Title/Job Classification</u>	<u>Billable Rate (per hour)</u>
XXXXXX	\$000.00

**COMPENSATION FOR CONSULTANT AND SUB-CONSULTANT SERVICES**

The University will compensate Consultant for services performed in accordance with this Agreement, computed on the following basis:

1. Agreed-upon Lump Sum: Includes anticipated cost of Sub-consultant, with additional payment allowed for reimbursable expenses of the Consultant and Sub-consultant.
2. Rate Schedule: A maximum not-to-exceed amount will be designated in a Written Authorization for services of Consultant and Sub-consultants, with additional payment allowed for reimbursable expenses. Payments for services of Consultant shall be computed on the basis of the rates specified in the Consultant Rate Schedule incorporated into this Agreement. Rates shall not be changed without the prior written approval of the University. Rates are subject to revision annually with University approval.
  - (a) Overtime: If authorized in advance by the University, expense of overtime work requiring higher-than-regular rates will be allowed.
  - (b) Sub-consultants: Reimbursement will be made for the cost of Sub-consultants employed pursuant to services authorized under this Agreement by payment of an amount equal to the amount paid by Consultant for the services of such Sub-consultants. Fees shall be approved in advance by the University. Requests for payment must be accompanied by copies of the Sub-consultants' receipts.
3. Compensation to be paid under a Written Authorization to Perform Basic Services shall not exceed the maximum amount established in the applicable Agreement.
4. Payment to Consultant will be made monthly, subsequent to the receipt of an invoice for each individual project. For work performed for an agreed-upon lump sum, Consultant shall invoice on the basis of the percentage of work completed. For work performed on the basis of hourly rates, Consultant shall itemize the costs for each individual.
5. The University will have the right to withhold payment from Consultant for any unsatisfactory service, until such time service is performed satisfactorily.
6. All invoices shall be submitted to:

UCD HAS Building  
Attn: Capital Finance  
10850 White Rock Rd.  
Rancho Cordova, CA. 95670  
Or email to: [hs-capitalfinance@ucdavis.edu](mailto:hs-capitalfinance@ucdavis.edu)

## **EXHIBIT D**

### **REIMBURSEMENT SCHEDULE**

Compensation for reimbursable expenses will be allowed in addition to the fees for services, unless the Written Authorization indicates that reimbursables are included in the total amount authorized for services. **Items not specifically included in this Exhibit shall not be reimbursed without prior University approval. (Note: Sub-consultant professional services are considered a part of Consultant Services, and are, therefore, not a reimbursable expense.)**

Consultant shall submit itemized receipts, or other University-approved proof-of-payment, when requesting reimbursement. **Credit card statements, travel "itineraries", and employee expense reports are not acceptable receipts of payment.**

Consultants and Sub-consultants **shall not be reimbursed** for in-house computer time and outside computer services; costs associated with facsimile and modem communications, long distance and cellular telephone; and, UCDMC parking fees.

#### **TRAVEL**

1. **Reimbursement for travel expenses shall only apply to transportation, lodging and living expenses for official travel by Consultant or Sub-consultant to project locations greater than 150 miles outside of the area of the Consultant's main office. Travel must be authorized in writing, in advance, by the University.**
2. Consultant shall not be reimbursed for travel between Consultant's own offices, or between Consultant's offices and Sub-consultant's offices. Sub-consultants shall not be reimbursed for travel between Sub-consultant's own offices, or between Sub-consultant's and Consultant's offices. Compensation shall be as follows:

**(a) Transportation:**

The UC Davis Health follows the IRS guidelines with respect to mileage reimbursements. The standard reimbursement mileage rate for the use of a car (also vans, pickups or panel trucks) for this calendar year, **2024, is \$0.67** per mile. The IRS updates this rate annually, you will be reimbursed accordingly.

Other transportation (receipts required)\* .....At cost

\*Includes commercial carrier fares and car rental charges (excluding insurance for rental vehicles); daytime and overnight auto parking; bridge tolls; and, all other charges for transportation services essential for office travel. First class air travel is not permitted without express written authorization in advance of the travel.

**(b) Lodging: ..... At cost**

Lodging rates in excess of \$275 per night require prior University approval.

**(c) Meals & Incidental Expenses \*\*:**

For periods in excess of 24 hours.....**\$69.00/day/person maximum**

\*\*Consultant must be on travel status for more than 12 hours in order to be reimbursed for meals in connection with a trip of less than 24 hours. Excluded from reimbursement for meals is payment for alcoholic beverages.

**The University will not reimburse Consultants for equipment – i.e., cameras, computers, furniture, etc.**

#### **POSTAGE**

Expense of postage for packages (e.g. large sets of plans, shop drawings and submittals) shall be reimbursed. Reimbursements for expense of express mail services to destinations outside a project area, or courier services require prior University approval.

Excluded from reimbursement are expense of postage and express mail services incurred in conveying documents between the Consultant's own offices, and between the Consultant and all Sub-consultants.

#### **REPRODUCTION**

Expense of outside and in-house reproductions, with the exception of reproductions made for in-house use, shall be reimbursed.

#### **PRESENTATION MATERIALS**

Expense for models, drawings, renderings, presentation materials, film and film processing, that are not required under Article I of the agreement, Consultant Services and Responsibilities, shall be reimbursed with prior University approval.

#### **EQUIPMENT RENTAL**

Expense of equipment rental, including shipping costs, where appropriate, and charges for use of Consultant-owned equipment shall be reimbursed with prior University approval.

#### **SPECIAL FEES AND PERMITS**

Expense of special fees and permits shall be reimbursed with prior University approval.



# CERTIFICATE OF LIABILITY INSURANCE

(for non-UCIP Construction Projects and Consultant/Design Contracts)

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
	PHONE (A/C, No, Ext):	FAX (A/C, No):
	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	
	NAIC #	
INSURED	INSURER A :	
	INSURER B :	
	INSURER C :	
	INSURER D :	
	INSURER E :	
	INSURER F :	

## COVERAGES

## CERTIFICATE NUMBER:

## REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR <hr/> <input type="checkbox"/> GENL AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS						
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y/N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N/A				WC STATUTORY LIMITS <input type="checkbox"/> OTH-ER <input type="checkbox"/>
	<b>PROFESSIONAL LIABILITY</b> <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE						

## Special Provisions:

- The Regents of the University of California, The University of California, University, and each of their Representatives, consultants, officers, agents, employees, and each of their Representative's consultants, are included as additional insureds on the general liability policy as required by contract and pursuant to additional insured endorsement CG2010 (11/85) or a combination of both CG 2010 (10/01 or 07/04) and CG 2037 (10/01 or 07/04) but only in connection with **Professional Services Agreement – Blanket**.
- The General Liability coverage contains a Severability of Interest provision and shall be primary insurance as respects The Regents of the University of California, its officers, agents and employees. Any insurance or self-insurance maintained by The Regents of the University of California shall be excess of and non-contributory with this insurance.

CERTIFICATE HOLDER: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

**Forward to:** Facilities Design & Construction  
UC Davis Medical Center  
4800 2<sup>nd</sup> Avenue, Suite 3010  
Sacramento, CA 95817  
Attention: **Contracts Group**

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

**EXHIBIT F  
CONFIRMATION OF CERTIFICATION**

For the Consultant and each Sub-consultant, the following must be completed.

Indicate all Business category(ies) that apply by checking the box next to the applicable category(ies), providing the Certification Agency and Certification Number along with attached proof of certification.

<input type="checkbox"/> Small Business Enterprise (SBE) - an independently owned and operated concern certified as a small business by the California Department of General Services Office of Small Business and Disabled Veteran Business Enterprise Services (OSDS) or other accepted certifying agency as listed here. <a href="https://ucop.edu/sbe-dvbe-certifications">https://ucop.edu/sbe-dvbe-certifications</a>  Certifying Agency: _____  Certification Number: _____ (Attach documentation of certification to this form)
<input type="checkbox"/> Disabled Veteran Business Enterprise (DVBE) - an independently owned and operated concern certified as a DVBE by the State of California Office of Small Business and Disabled Veteran Business Enterprise Services (OSDS) or other accepted certifying agency as listed here. <a href="https://ucop.edu/sbe-dvbe-certifications">https://ucop.edu/sbe-dvbe-certifications</a>  Certification Type: _____  Certification Number: _____ (Attach documentation of certification to this form)

The below information is being collected post-award for statistical purposes only. Please check all Business category(ies) that apply:

<input type="checkbox"/> Disadvantaged Business Enterprise (DBE) - a business concern that is at least 51% owned by one or more socially and economically disadvantaged individuals or, in the case of any publicly owned business, at least 51% of the stock of which is owned by such individuals and whose management and daily business operations are controlled by one or more of such individuals. Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as members of a group without regard to their individual qualities. Economically disadvantaged individuals are those socially disadvantaged individuals whose ability to compete in the free private enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged. Business owners who certify that they are members of named groups (Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Asian-Indian Americans) are to be considered socially and economically disadvantaged.
<input type="checkbox"/> Women-Owned Business Enterprise (WBE) - a business concern that is at least 51% owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means being actively involved in the day-to-day management.

I hereby certify under penalty of perjury under the laws of the State of California that I have read this certification and know the contents thereof, and that the business category indicated above reflects the true and correct status of the business. I understand that falsely certifying the status of this business, may result in suspension from participation in University of California business contracts for a period up to five (5) years and the imposition of any civil penalties allowed by law.

INFORMATION FURNISHED BY:

\_\_\_\_\_  
(Print or Type Name of Owner and/or Principal)

\_\_\_\_\_  
(Name of Business or Firm)

a

\_\_\_\_\_  
(Insert type of business e.g. corporation, sole proprietorship, partnership, etc.)

By:

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**EXHIBIT G**

Provide the following information for each contracting party including the Consultant and each Subconsultant regardless of tier.\* Attach additional sheets if necessary.

1	2	3	4	5					6	
Full Name of Business	Street Address, City and Zip	Telephone No./Fax No.	Contact Name	Business Categories					Contract Dollars	
				SBE *	DBE *	WBE *	DVBE *	N/A	Amount (\$)	Percent (%)
(C)									\$	%
(Sub 1)									\$	%
(Sub 2)									\$	%
(Sub 3)									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
									\$	%
				Column 5 - Business Categories					Subtotals	
Total Contract Amount = \$				SBE = Small Business Enterprise					\$	
				DBE = Disadvantaged Business Enterprise					\$	
				WBE = Woman Business Enterprise					\$	
				DVBE = Disabled Veteran Business Enterprise					\$	