

**CONSTRUCTION MANAGEMENT AGREEMENT – BLANKET**

**BETWEEN**

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

**AND**

**FIRM NAME**

**MONTH/day/year**

# CONSTRUCTION MANAGEMENT AGREEMENT – BLANKET

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## CONSTRUCTION MANAGEMENT AGREEMENT

BETWEEN

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

AND

THE CONSTRUCTION MANAGER (CM)

This AGREEMENT is made on the {DAY} day of {MONTH} in the year {YEAR} between The Regents of the University of California, A California corporation, hereinafter called "University", and {INSERT THE NAME OF THE CONSTRUCTION MANAGER} hereinafter called "CM", a California

1. IF THE FIRM IS A CORPORATION, USE THE CORPORATE TITLE.

2. IF THE FIRM IS A PARTNERSHIP, LIST THE NAMES OF ALL OF THE PARTNERS. IF THE PARTNERSHIP IS OPERATING AS A "DOING BUSINESS AS" (DBA) FIRM, INCLUDE THE DBA NAME. FOR A GENERAL PARTNERSHIP, STIPULATE AFTER ALL LISTED NAMES THE TERM "Co-Partners".

3. IF THE FIRM IS A SOLE PROPRIETORSHIP, LIST THE NAME OF THE INDIVIDUAL, FOLLOWED BY THE WORDS "an Individual." IF THE SOLE PROPRIETORSHIP IS OPERATING AS A DBA FIRM, INCLUDE THE DBA NAME.)

The term of this Agreement shall be from MM/DD/YYYY to MM/DD/YYYY.

The CM shall act as a consultant to UC Davis Health, Facilities Design and Construction, to perform Construction Management Services as required and authorized by University pursuant to the Services Scope described in Exhibit M.

University will authorize the CM to perform specific services by the issuance of a written Authorization(s) on the form contained in the Exhibits. Each written Authorization will state the specific project and services to be performed, the schedule for their completion, and the method of compensation, which shall be in accordance with Article 5.

### ARTICLE 1 – GENERAL PROVISIONS

#### 1.1 GENERAL REQUIREMENTS

1.1.1 This Agreement shall be governed by the laws of the State of California.

1.1.2 In the event of a conflict between the provisions of any exhibit to this Agreement and the Agreement, the provisions of this Agreement shall govern.

1.1.3 University's exercise of any of its rights or remedies prescribed in this Agreement shall not relieve CM from responsibility for damages or other losses incurred or to be incurred by University as a result of CM's breach of its obligations under this Agreement.

1.1.4 Time is of the essence for this Agreement.

1.1.5 CM shall cooperate with University, its designees, and Contractor in furthering the interests of University.

1.1.6 CM shall pay all persons providing services and/or any labor on site, including any University location, no less than UC Fair Wage (defined as \$15 per hour as of 10/01/2017) and shall comply with all applicable federal, state and local working condition requirements.

1.1.7 This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same Agreement. The counterparts of this Agreement may be executed via a University approved digital signature process and shall have the same force and effect as the use of a manual signature. The University reserves the right to reject any digital signature that cannot be positively verified by the University system as an authentic digital signature.

## 1.2 CONSTRUCTION MANAGER STANDARD OF CARE

**1.2.1** CM, its officers, agents, employees, subcontractors, consultants and any persons or entities for whom CM is responsible, shall provide all services pursuant to this Agreement in a manner consistent with the standard of care under California law applicable to those who specialize in providing such services for projects of the type, scope, and complexity of the Project (including its contracting mode).

## 1.3 DEFINITIONS

Unless defined differently herein, terms used in this Agreement shall have the same meaning as those used in University's Bidding Documents General Conditions (Exhibit H)

**1.3.1** *As-builts (As-built Drawings and Specifications)*. The term "As-builts" shall mean the record copy of the Contract Documents prepared by the Construction Contractor to record as-built conditions, current changes, and selections made during construction.

**1.3.2** *Construction Budget*. The term "Construction Budget" shall mean the University's written statement of funds available to pay for the cost of construction.

**1.3.3** *Construction Cost Index*. The term "Construction Cost Index" shall mean the Engineering News Record's (ENR) Construction Cost Index for the time period designated by University. The 20 cities average is used as a benchmark.

**1.3.4** *Construction Documents*. The term "Construction Documents" shall mean the drawings and specifications, prepared by the Design Professional, setting forth in detail the requirements for the construction of the Project.

**1.3.5** *Contract Documents*. The term "Contract Documents" shall mean the Advertisement for Bids, Instruction to Bidders, Supplementary Instructions to Bidders, Bid Form, Agreement, General Conditions, Supplementary Conditions, Exhibits to the Construction Documents, Specifications, List of Drawings, Drawings, Addenda, Notice to Proceed, Change Orders, Notice of Completion and all other items identified in the Construction Contract Agreement for the Project.

**1.3.6** *Contractor*. The term "Contractor" shall mean the entity or, in the case of a Multiple Prime Contract, one of several entities with whom the University has contracted to construct the Work associated with the Project.

**1.3.7** *Coordination*. The term "Coordination" shall mean that the documents shall be consistent and in conformance each part with all other parts.

**1.3.8** *Estimated Project Construction Cost*. The term "Estimated Project Construction Cost" shall mean CM's written estimate in the form specified by University (See Executive Design Professional Agreement) of the total Construction Cost of the Project at the various stages of the design process.

**1.3.9** *Project*. CM may provide services on multiple Projects under this Agreement. Such Projects shall be identified in Authorizations issued by University. The term "Project" shall mean the project described in each written Authorization issued by University.

**1.3.10** *Project CM*. The term "Project CM" shall mean the specific University-approved CM individual named in this Agreement who is assigned to the Project, and is CM's designated principal or staff member, as the designated person in charge of providing all services required by this Agreement.

**1.3.11** *Project Program*. The term "Project Program" (see Executive Design Professional Agreement) is a written statement of University's design objectives, constraints, and criteria, including space requirements and relationships, flexibility and expendability, special equipment and systems, and Project site requirements.

**1.3.12** *Project Schedule*. The term "Project Schedule" shall mean the schedule prepared by CM for University showing Project milestones, funding, design, design review, construction, and other deadlines applicable to the Project.

**1.3.13** *Record Documents*. The term "Record Documents" shall mean the Design Professional's record drawings and final specifications made from the As-built documents received from the Contractor.

**1.3.14 Bidding Documents.** The term "Bidding Documents" shall mean those documents prepared and furnished by University for the purpose of obtaining bids from contractors to construct the Project, including without limitation, the General Conditions and General Requirements which are hereby incorporated by reference.

**1.3.15 University.** The term "University" shall mean The Regents of the University of California.

**1.3.16 University Representative.** The term "University Representative" shall mean the person or entity providing the University Representative services as indicated in the Contract Documents including, but not limited to, issuance of written communications with the Contractor, pursuant to subparagraph 4.1.1 of this agreement.

**1.3.17 Work.** The term "Work" shall mean all construction, services, and other requirements of the Contract Documents, or as modified by Change Order, whether completed or partially completed, and includes all labor, materials, equipment, tools, and services provided or to be provided by Contractor to fulfill Contractor's obligations. The Work may constitute the whole or a part of the Project.

## **ARTICLE 2 – CM'S SERVICES AND RESPONSIBILITIES – BASIC SERVICES**

For each Project, Basic Services to be provided by CM consist of the services described in this Article 2 and those Supplemental Services described in Exhibit A. For each Project, the Basic Services and Supplemental Services to be provided by the CM may be further defined in written Authorizations.

### **2.1 GENERAL/PRE-BIDDING**

**2.1.1** The CM shall provide full-time on-site construction management staff with experience as required by the University.

**2.1.2** CM shall provide other services as are reasonable and necessary to assist the University in the maintenance of the project budget and schedule.

**2.1.3** The CM shall provide a full-time Project CM on site to act as CM's representative with the authority to commit resources of the firm to monitor, manage and administer all phases of the project activities and to help achieve the completion of all construction. CM shall provide all necessary qualified personnel to perform CM services under this Agreement. CM shall provide the names of all personnel to the University for prior approval. For each Project, the names of key personnel to be assigned to the work by the CM shall be submitted to the University for prior written approval. No key personnel shall be assigned to or from the Project without prior written approval of the University. If the CM's personnel fail to perform to the University's satisfaction, the University may, upon written notice of fifteen (15) calendar days, cause the CM to remove such person(s) from the project and replace them with another staff personnel acceptable to the University.

**2.1.4.** As part of the CM's scope of services, for each Project, it shall carry out all duties and responsibilities listed herein. CM shall not have authority to: a) authorize a change in Contract cost, scope, or Contract time; b) issue Notices of Completion; c) issue contracts; and d) approve pay requests. The CM shall make findings and recommendations associated with such effort, or any effort requested by the University to carry out the duties listed herein. CM will coordinate all communications on the Project or relating to the Project, including communications between the Contractor(s), Design Professional, and University, and their agents, and will alert the University of any irregularities or inconsistencies in such communications.

**2.1.5** CM shall abide by all regulations imposed by authorities having jurisdiction over the Project.

**2.1.6** CM shall assist University and Design Professional in fulfilling the requirements of the authorities and funding agencies whose interests bear on the design, cost, and construction of the Project.

**2.1.7** CM shall cooperate with other professionals University may employ for related work.

**2.1.8** To the extent required by University, CM shall consult with authorized employees, agents, and representatives of University relative to the design and construction of the Project.

**2.1.9** CM shall monitor the Design Professional's work to ensure that it is performed in accordance with Exhibit Supplemental Requirements, B to Exhibit F of the Executive Design Professional Agreement.

**2.1.10** CM shall develop a Schedule Control System in consultation with University and Design Professional which shall be the basis upon which the CM monitors and evaluates the progress of the Project. The Schedule Control System shall be in accordance with the Project Schedule, and shall define design, construction, and University occupancy phases, delineating the responsibilities of University, Design Professional, CM and Contractor. The Schedule Control System shall be subject to written approval by University. The Schedule Control System shall consist of the following two elements:

- .1 A detailed time-scaled logic diagram depicting the durations and responsibilities for all activities, including University's procurement of equipment and materials with long lead times prior to selection of the Contractor.
- .2 A summary diagram showing the major milestones identified in the time-scaled logic diagram.

**2.1.11** CM shall develop an Estimated Project Construction Cost based on the status of the design on the date of this Agreement, or on the date of the written Authorization for the respective Project, or on the date as determined by University, and shall update the Estimate at 100% Construction Documents prior to bidding the Work. CM shall also develop a Cost Control System, in consultation with University which shall be the basis upon which CM monitors all Project costs. The Cost Control System shall be in accordance with the Capital Improvement Budget (Project Budget) (Exhibit E). The Cost Control System shall be used by CM to report the status of the Project Budget and Project costs in a Monthly Cost Report. The Monthly Cost Report shall reflect all encumbrances and expenses and shall provide cost projections through Project completion. Major deviations from the previous month's report shall be annotated and explained in the subsequent Monthly Cost Report. With the exception of Estimated Project Construction Costs, which are provided by CM and Design Professional, all cost information for the Monthly Cost Report will be provided by University. The Cost Control System must be approved in writing by University.

**2.1.12** CM shall promptly report to University any non-conformity or potential problems with University's Project objectives of quality construction, timely completion, and economy, with University's Project Program, Construction Budget, and Project Schedule.

**2.1.13** CM shall review Design Professional's submittals of outline specifications as required by the Executive Design Professional Agreement, for purposes of determining consistency with the Project Program, Project Budget, and Project Schedule. Inconsistencies shall be reported in writing to University. As requested by University, CM shall meet with Design Professional to discuss its review findings.

**2.1.14** CM shall consult with University, Design Professional, and others, as approved by University, in order to analyze, evaluate, and make recommendations regarding elements of the Project site, including Contractor access, storage, site offices, Project site limit lines, coordination with existing or proposed utility systems, and the effect of construction on adjacent buildings, walkways, and streets.

**2.1.15** CM shall review Design Professional's submittals of Design Development documents and evaluate the proposed Project design features, systems and materials, and recommend alternatives that would, in the CM's opinion, increase constructability, lessen the construction time, or reduce the Project Budget without deviating from minimum Project Program requirements. CM's written evaluation to University shall include conclusions, alternatives, and recommendations (including energy conservation alternatives). As requested by University, CM shall meet with Design Professional to discuss its findings.

**2.1.16** CM shall confirm that the Drawings and Specifications are consistent with the University's General Conditions and the Division 1 tailored for the Project.

**2.1.17** CM shall check for coordination of the documents in terms of consistency and conformity each part with all other parts.

**2.1.18** CM shall develop a recommendation list of cost items that the Contractor should include in its cost breakdown (as required by the General Conditions). CM shall use the Construction Specification Institute format, and submit this list to University for inclusion in the Construction Documents.

**2.1.19** CM shall prepare a Preliminary Master Project Schedule for inclusion in the Construction Documents.

**2.1.20** CM shall schedule and conduct a final constructability review on the 100% Construction Documents.

## **2.2 BIDDING PHASE**

**2.2.1** CM shall assist University and Design Professional in developing prequalification criteria, and preparation of prequalification documents, as requested by University.

**2.2.2** CM shall actively encourage contractors with known expertise on projects of similar size and scope to bid on the Project.

**2.2.3** As requested by University, CM shall assist with preparation and conducting the pre-bid conferences, and assist in the preparation of required Addenda to be issued by University.

## **2.3 CONSTRUCTION PHASE**

**2.3.1** CM shall assist University and Design Professional as requested in the preparation of the pre-construction meeting. CM shall prepare matrix charts of Design Professionals staff and responsibilities, University's staff and responsibilities, and CM's staff and responsibilities in accordance with the Executive Design Professional Agreement.

**2.3.2** CM shall assist University and Design Professional in monitoring written communications between Design Professional, University's Administrator and Contractor (s).

**2.3.3** CM shall assist Design Professional, as requested by University, in the resolution of disputes between the Design Professional and the Contractor(s).

**2.3.4** CM shall maintain, monitor, and update the Cost Control System. The monthly Cost Report shall compare the original Project Budget with the current Project cost, identify expenditures to date, state the budget required for completion of each major category of Work, identify actual and anticipated Change Orders, and predict the current estimated total Project cost. All major changes and cost factors shall be described in a narrative that shall be attached to the Monthly Cost Report. The current month's report narrative shall identify any changes from the estimate in the previous month's report.

**2.3.5** CM shall assist University and Design Professional in evaluating Contractor Change Order Requests, and make written recommendations regarding such requests.

**2.3.6** CM shall assist in negotiations with Contractor as requested by University.

**2.3.7** CM shall assist Design Professional, as requested by University, in obtaining back-up documentation, shop drawings, and materials submittals from Contractor.

## **2.4 POST CONSTRUCTION PHASE**

**2.4.1** CM shall receive Design Professional's Record Documents and Contractor's As-Builts, evaluate their completeness and recommend to University in writing whether to accept or reject said documents.

## **2.5 INDEPENDENT REVIEWS**

**2.5.1** CM shall conduct independent reviews when and as required by the University, pursuant to written Authorization(s) issued by University.

## **ARTICLE 3 – CM'S SERVICES AND RESPONSIBILITIES – ADDITIONAL SERVICES**

Any authorized Additional Services shall be paid for by University, as provided in this Agreement, in addition to the compensation for Basic Services. CM shall provide Additional Services only when and as authorized in a written Authorization signed by University. No Additional Services shall be compensable unless so authorized.

## **ARTICLE 4 – UNIVERSITY RIGHTS AND RESPONSIBILITIES**

### **4.1 ADMINISTRATION**

**4.1.1** University will designate, in writing, a University Representative who will act on behalf of University with respect to this Agreement. CM shall accept directives only from the designated University Representative and not from

other University employees. University may replace the designated University Representative at its sole option; if this replacement is made, University will notify CM in writing.

## **4.2 PROVISION OF INFORMATION, SURVEYS, AND REPORTS**

**4.2.1** University has furnished the information set forth in subparagraph 12.2.1, which are hereby incorporated and made a part of this Agreement.

**4.2.2** University will have the right to make changes to the Project Program, Exhibit H of the Executive Design Professional Agreement. When such changes increase the duties of CM beyond those reasonably and customarily provided in Basic Services, CM shall be compensated in accordance with this Agreement.

**4.2.3** University will have the right to make reasonable changes to its Bidding Documents and CM shall be bound by such changes. When such changes increase the duties of CM, beyond those reasonably and customarily provided in Basic Services, CM shall be compensated in accordance with this Agreement.

**4.2.4** University shall furnish information to CM for purposes of updating the Project Schedule as dates and durations applicable to the Project such as funding deadlines, review periods, anticipated periods of Project suspension, and construction deadlines become known.

**4.2.5** The services, information, surveys, and reports required by this Article 4 will be furnished at University's expense.

**4.2.6** University will furnish copies of Drawings, Specifications, and other Project-related documents deemed necessary by University and CM for the performance of CM's services under this Agreement.

**4.2.7** University will provide CM with an office equipped with a telephone, a photocopy machine, and reasonable furnishings, as determined by University.

## **ARTICLE 5 – COMPENSATION**

University will compensate CM for the scope of services provided, in accordance with this Article 5 and with the other terms and conditions of this Agreement as follows:

### **5.1 COMPENSATION FOR BASIC SERVICES**

**5.1.1** Total compensation payable by University under this Agreement (inclusive of Basic Services, Additional Services and Reimbursable Expenses), shall not exceed **\$00,000,000**.

**5.1.2** Fees shall be computed as follows unless otherwise mutually agreed upon in writing by CM and University in a written Authorization:

1. For each written authorization, a maximum payment shall be established that shall not be exceeded without the prior written approval of the University.
2. All fees shall be in accordance with the Consultant Rate Schedule contained in the Exhibits. Unless otherwise provided in the Consultant Rate Schedule, rates shall not be changed except as provided in written Amendments issued in accordance with paragraph 12.1.1. Alternatively, a lump-sum fee may be negotiated.
3. Payments to the Consultant shall be made monthly, subsequent to the University's receipt of an invoice itemizing the fees and reimbursable expenses for each written authorization for the month invoiced.
4. Reimbursable expenses are actual expenditures made by the Consultant and the Consultant's employees and subconsultants in accordance with the "Reimbursement Schedule" contained in the Exhibits. Such reimbursable expenses will be paid in addition to the fees for Services under this Agreement.

**5.1.3** University reserves the right to withhold monies for services not received as part of Basic Services and Supplemental Services which extend beyond the duration of this Agreement unless an Amendment is issued by University for extension of services.

## **5.2 COMPENSATION FOR ADDITIONAL SERVICES**

**5.2.1** For the Additional Services of CM, as described in Article 3, compensation shall be in accordance with the attached Rate Schedule (Exhibit B).

**5.2.2** University reserves the right to change time and scope of the Work. If University changes either time or scope, the CM's fees shall be adjusted in accordance with the attached Rate Schedule (Exhibit B).

**5.2.3** If the duration of this Agreement exceeds or is extended by 120 calendar days through the fault of University, Design Professional, or Contractor and through no fault of CM, compensation for any Basic Services provided during this extended period of the construction phase of the construction contract shall be adjusted to compensate CM for any additional costs reasonably incurred by CM as the result of such delay, provided University has approved such adjustments in advance. These extended Basic Services shall be approved, in writing, by University and shall not include Basic Services that would have been performed under this Agreement had the initial duration of the Agreement not been substantially exceeded or extended.

## **5.3 REIMBURSABLE EXPENSES**

**5.3.1** For Reimbursable Expenses, only actual costs will be reimbursed in accordance with the Reimbursement Schedule in the Exhibit C. Paid invoices or other proof of payment shall be submitted when requesting reimbursement.

**5.3.2** Reimbursable Expenses are paid in addition to the compensation for Basic and Additional Services and are actual expenditures made by CM and CM's consultants in relation to the services provided in this Agreement.

## **ARTICLE 6 – PAYMENTS**

### **6.1 PAYMENTS FOR BASIC SERVICES**

**6.1.1** Payments for Basic Services, as defined in Article 2, shall be made as stipulated in paragraph 5.1.

### **6.2 PAYMENTS FOR ADDITIONAL SERVICES AND REIMBURSABLE EXPENSES**

**6.2.1** Payments for CM's Additional Services, as defined in Article 3, and for Reimbursable Expenses, as defined in paragraph 5.3, shall be made monthly after presentation of CM's statement of services rendered, or expenses incurred, with invoices, receipts and other justification thereof.

### **6.3 PROJECT SUSPENSION**

**6.3.1** If the Project is suspended or abandoned for more than 3 consecutive months, and such suspension was not scheduled at the beginning of the Project, as provided under subparagraph 4.2.4, CM shall be compensated for all authorized services performed prior to the receipt of written notice from University of such suspension or abandonment, together with Reimbursable Expenses then due. If the Project is resumed after being suspended for more than 3 consecutive months, CM's compensation shall be adjusted to compensate CM for any additional costs reasonably incurred as the result of the suspension.

## **ARTICLE 7 – CM'S RECORDS AND FILES**

**7.1** Books and records relating to this Agreement shall be maintained in accordance with Generally Accepted Accounting Principles (GAAP) or International Financial Reporting Standards (IFRS). University or University's authorized representative shall have access to, the right to audit and the right to copy pertinent parts of CM's books and records. CM's records shall include but not be limited to accounting records (hard copy, as well as computer readable data); contracts; payroll records; vendor agreements; purchase orders; leases; original estimates; estimating work sheets; correspondence; receipts; memoranda; and any other supporting evidence deemed necessary to substantiate charges under this Agreement. All such books and records shall be preserved for a period of at least 3 years from the date of Final Payment under this Agreement.

**7.2** CM shall make files available for inspection and copying by University upon reasonable notice. University or University's authorized representative shall have access to the CM's premises and records for inspection and auditing during normal business hours, shall be allowed to interview CM employees pursuant to the provisions of this Article, and be provided adequate and appropriate work space in order to conduct audits in compliance with this Article. The provisions of this Article shall also apply to parent, affiliate, and subsidiary companies as necessary to verify costs associated with this Agreement.

## **ARTICLE 8 – OWNERSHIP AND USE OF DOCUMENTS, MATERIALS AND SYSTEMS**

**8.1** All systems, materials and documents developed for and with University resources shall become the property of University, whether or not the Project for which they are developed is executed. Upon completion or termination of CM's Basic Services and Additional Services under this Agreement, CM shall promptly provide to University all files related in any way to the Project, including but not limited to all documents, correspondence (including internal and external correspondence), systems and materials, both electronic and hard copy format, excluding CM's internal accounting books and records addressed in Article 7. CM shall be permitted to retain copies for information and reference at CM's expense.

**8.2** University will not defend, indemnify or save harmless CM, its officers, agents, or employees from any costs or claims asserted or imposed by any person or entity claiming that University's or CM's use of systems, materials or documents is contrary to or in violation of any copyright, patent, trade secret, trade name, trade mark, or any proprietary, contractual or legal right pertaining to their use.

**8.3** At all times during the term of this Agreement, all files related in any way to the Project, including but not limited to all documents, correspondence (including internal and external correspondence), systems and materials, both electronic and hard copy format, shall be maintained by the CM pursuant to the University's filing protocol and stored on site, unless otherwise agreed by the University and the CM. The University or the University's Representative shall have access to and the right to copy all such files at any time during the term of this Agreement.

## **ARTICLE 9 – DISPUTES**

### **9.1 NEGOTIATION**

**9.1.1** The parties will attempt in good faith to resolve any controversy or Claim arising out of or relating to this Agreement by negotiation.

### **9.2 MEDIATION**

**9.2.1** Within 60 days, but not earlier than 30 days following the earlier of (1) receipt of notice by the other party from the American Arbitration Association (AAA) of the disputing party's demand for arbitration or (2) receipt by the other party of the disputing party's notice of election to litigate, the parties may submit the matter to non-binding mediation administered by the AAA under its construction industry mediation rules.

### **9.3 ARBITRATION OR LITIGATION**

**9.3.1** Disputes arising from this Agreement between CM and University which cannot be settled through negotiation or mediation shall be subject to arbitration or litigation as follows:

#### **.1 ARBITRATION WITH CONTRACTOR**

If any claim arises under the Construction Contract Documents for the Project and is submitted to arbitration, and either Contractor or University claims that the acts or omissions of CM are involved, in whole or in part, any claim by University against CM arising out of or in connection therewith may be asserted, at the option of University, against CM in the same arbitration proceeding which shall be conducted under the procedures specified in the General Conditions of the construction contract.

#### **.2 LITIGATION WITH CONTRACTOR**

If any claim arises under the Construction Contract Documents for the Project and is submitted to litigation, and either Contractor or University claims that the acts or omissions of CM are involved, in whole or in part, any claim by University against CM arising out of or in connection therewith may be asserted, at the option of University, against CM in the same litigation.

### **.3 ARBITRATION WITHOUT CONTRACTOR**

Disputes arising from this Agreement between CM and University which cannot be settled through negotiation or mediation, and which are not resolved by arbitration or litigation pursuant to subparagraphs 9.3.1.1 and 9.3.1.2 shall be subject to arbitration without Contractor conducted in accordance with the Construction Industry Arbitration Rules of the AAA then in effect. The following additional modifications shall be made to the aforesaid Rules of the AAA:

- .1 Civil discovery shall be permitted for the production of documents and taking of depositions. Other discovery may be permitted in the discretion of the arbitrator. All disputes regarding discovery shall be decided by the arbitrator.
  - .2 University's Representative and/or University's consultants, shall if required by agreement with University, upon demand by University join in and be bound by the arbitration.
  - .3 Concurrent disputes subject to this subparagraph 9.3.1.3.3 shall be consolidated into a single arbitration unless the parties otherwise agree in writing.
  - .4 No hearing shall be held prior to final completion of the Project unless University and CM otherwise agree in writing.
  - .5 The exclusive forum for determining arbitrability shall be the Superior Court of the State of California.
  - .6 If total claims are less than \$50,000, AAA expedited procedures as modified by this Article 9 shall apply. If total claims are between \$50,000 and \$100,000 they shall be heard by a single arbitrator who shall be an attorney. If total claims are in excess of \$100,000 and are submitted to arbitration, the controversy shall be heard by a panel of 3 arbitrators, one of which shall be an attorney.
  - .7 The AAA shall submit simultaneously to each party to the dispute an identical list of at least 10 names of persons chosen from the National Panel of Commercial Arbitrators, and each party to the dispute shall have 10 days from the date of receipt in which to cross off any names objected to, number the remaining names in order of preference and return the list to AAA. If the expedited procedures of the AAA are applicable, the AAA shall submit simultaneously to each party an identical list of 5 proposed arbitrators drawn from the National Panel of Commercial Arbitrators, and each party may strike 3 names from the list on a peremptory basis and return the list to AAA within 10 days from the date of receipt.
- .4 Unless University and CM otherwise agree in writing, the arbitration decision shall be made under and in accordance with the laws of the State of California, supported by substantial evidence, and in writing. If the total of all claims or cross claims submitted to arbitration is in excess of \$50,000 the award shall contain the basis for the decision, findings of fact, and conclusions of law.

Any arbitration award shall be subject to confirmation, vacation, or correction under the procedures and on the grounds specified in the California Code of Civil Procedure including without limitation Section 1296.

The expenses and fees of the arbitrators and the administrative fees of the AAA shall be divided among the parties equally. Each party shall pay its own counsel fees, witness fees, and other expenses incurred for its own benefit.

### **9.4 PERSONAL INJURY, WRONGFUL DEATH OR PROPERTY DAMAGE**

**9.4.1** Claims for personal injury, wrongful death, or property damage (other than property damage to University) shall not be subject to arbitration under subparagraph 9.3.1.3.

## **ARTICLE 10 – INDEMNIFICATION AND INSURANCE**

### **10.1 INDEMNIFICATION**

**10.1.1** CM shall indemnify, defend, and hold harmless University and its Regents, officers, employees, agents, and representatives (collectively, "Indemnitee"), against all liability, demands, claims, costs, damages, injury including death, settlements, and expenses (including without limitation, interest and penalties) incurred by Indemnitee ("Losses") arising out of the performance of services or CM's other obligations under this Agreement, but only in proportion to and to the extent such Losses are caused by or result from (1) the negligent acts or omissions of CM, its officers, agents, employees, subcontractors, consultants, or any person or entity for whom CM is responsible

(collectively, "Indemnitor"); (2) the breach by Indemnitor of any of the provisions of this Agreement; or (3) willful misconduct by Indemnitor.

**10.1.2** The indemnification obligations under this Article 10 shall not be limited by any assertion or finding that (1) the person or entity indemnified is liable by reason of non-delegable duty, or (2) the Losses were caused in part by the negligence of, breach of contract by, or violation of law by Indemnitor. The obligation to defend shall arise regardless of any claim or assertion that Indemnitor caused or contributed to the Losses; provided however, that Indemnitor's reasonable defense costs (including attorney and expert fees) will be reimbursed in proportion to the determination of Indemnitor's fault.

**10.1.3** Nothing in this Agreement, including the provisions of this Article 10, shall constitute a waiver or limitation of any rights which Indemnitor may have under applicable law, including without limitation, the right to implied indemnity.

## **10.2 INSURANCE REQUIREMENTS**

CM, at CM's sole cost and expense, shall insure its activities in connection with this Agreement and shall obtain, keep in force, and maintain insurance as listed below. The coverages required under paragraph 10.2 shall not in any way limit the liability of CM.

**10.2.1** Commercial-Form General Liability Insurance with coverage and minimum limits of liability as follows:

<b>.1 Each Occurrence</b>	<b><u>\$0,000,000</u></b>
<b>.2 Products Completed, Operations Aggregate</b>	<b><u>\$0,000,000</u></b>
<b>.3 Personal and Advertising Injury</b>	<b><u>\$0,000,000</u></b>
<b>.4 General Aggregate</b>	<b><u>\$0,000,000</u></b>

**10.2.2** Business Automobile Liability Insurance for owned, scheduled, non-owned, or hired automobiles, with a combined single limit of no less than **\$1,000,000** per accident.

**10.2.3** Professional Liability Insurance, with limits of **\$0,000,000** per claim and **\$0,000,000** in the aggregate. At the option of the University and in its sole discretion, a written Authorization for a Project may require CM to purchase project specific professional liability insurance for the Project as a reimbursable cost with the minimum limits specified in the written Authorization.

**10.2.4** If the above insurance (subparagraphs 10.2.1 and 10.2.3) is written on a claims-made basis, it shall be maintained continuously for a period of no less than 3 years after the date of Final Payment on this Agreement. The insurance shall have a retroactive date of placement prior to or coinciding with the date services are first provided that are governed by the terms of this Agreement and shall include, without limitation coverage for professional services as called for in this Agreement. Insurance required by subparagraphs 10.2.1-10.2.3 shall be (i) issued by companies that have a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's).

**10.2.5** Workers' Compensation as required and under the Workers' Compensation Insurance and Safety Act of the State of California, as amended from time to time. Insurance required by this subparagraph 10.2.5 shall be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.

**10.2.6** CM, upon execution of this Agreement, shall furnish University with Certificate of Insurance evidencing compliance with this Article 10, including the following requirements:

- .1** CM shall have the insurance company complete University's form, Certificate of Insurance (Exhibit D). It alone constitutes evidence of insurance.
- .2** Provide that coverage cannot be canceled without advance written notice to University, in accordance with policy provisions.
- .3** If insurance policies are canceled for non-payment, University reserves the right to maintain policies in effect by continuing to make the policy payments and assessing the cost of so maintaining the policies against CM.
- .4** University, University's officers, agents, employees, consultants, University's Representative, and University's Representative's consultants, regardless of whether or not identified in the Contract

Documents or to CM in writing, will be included as additional insureds on CM's general liability policy for and relating to the Work to be performed by CM and its consultants. CM's general liability insurance policy shall name University as an additional insured pursuant to additional insured endorsement CG2010 (11/85) or a combination of both CG 2010 (10/01 or 07/04) and CG 2037 (10/01 or 07/04). The General Liability coverage shall contain a Severability of Interest provision and shall be primary insurance as respects The Regents of the University of California, its officers, agents and employees. Any insurance or self-insurance maintained by The Regents of the University of California shall be excess of and non-contributory with this insurance. This requirement shall not apply to Worker's Compensation and Employer's Liability Insurance. The General Liability Insurance policy and the Business Automobile Liability Insurance policy shall name The Regents of the University of California as an Additional Insured.

- .5 The General Liability and the Professional Liability insurance policies shall apply to the negligent acts, or omissions of CM, its officers, agents, employees, and for CM's legal responsibility for the negligent acts or omissions of its consultants and anyone directly or indirectly under the control, supervision, or employ of CM or CM's consultants.

## **ARTICLE 11 - STATUTORY AND OTHER REQUIREMENTS**

### **11.1 NONDISCRIMINATION**

CM agrees as follows during the performance of the Work:

CM shall provide equal treatment to, and shall not willfully discriminate against or allow harassment of any employee or applicant for employment on the basis of: race; color; religion; sex; age; ancestry; national origin; sexual orientation; physical or mental disability; veteran's status; medical condition (as defined in Section 12926 of the State of California Government Code and including cancer-related medical conditions and or genetic characteristics); genetic information (as defined in the Genetic Information Nondiscrimination Act of 2008 and including family medical history); marital status; gender identity, pregnancy, or citizenship (within the limits imposed by law or University's policy) or service in the uniformed services (as defined by the Uniformed Services Employment and Reemployment Rights Act of 1994). CM will also take affirmative action to ensure that any such employee or applicant for employment is not discriminated against on any of the bases identified above. Such equal treatment shall apply, but not be limited to the following: employment; upgrade; demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The CM also agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause. The CM will, in all solicitations or advertisements for employees placed by or on behalf of the CM, state that qualified applicants will receive consideration for employment without regard to: race; color; religion; sex; age; ancestry; national origin; sexual orientation; physical or mental disability; veteran's status; medical condition (as defined in Section 12926 of the State of California Government Code and including cancer-related medical conditions and or genetic characteristics); genetic information (as defined in the Genetic Information Nondiscrimination Act of 2008 and including family medical history); marital status; gender identity, pregnancy, or citizenship (within the limits imposed by law or University's policy) or service in the uniformed services (as defined by the Uniformed Services Employment and Reemployment Rights Act of 1994). For purposes of this provision: (1) "Pregnancy" includes pregnancy, childbirth, and medical conditions related to pregnancy and childbirth; and (2) "Service in the uniformed services" includes membership, application for membership, performance of service, application for service, or obligation for service in the uniformed services.

### **11.2 PREVAILING WAGE RATES**

1. For purposes of this Article, the term subcontractor or subconsultant shall not include suppliers, manufacturers, or distributors.
2. CM shall comply and shall ensure that all Subcontractors comply with prevailing wage law pursuant to the State of California Labor Code, including but not limited to Sections 1770, 1771, 1771.1, 1772, 1773, 1773.1, 1774, and 1775, 1776, 1777.5, and 1777.6 of the State of California Labor Code. Compliance with these sections is required by this Contract. The Work under this Contract is subject to compliance monitoring and enforcement by the State of California Department of Industrial Relations. References to "Covered Services" hereinafter shall mean services performed pursuant to this Agreement that are covered by the aforementioned provisions as implemented by the State of California Department of Industrial Relations.
3. The State of California Department of Industrial Relations has ascertained the general prevailing per diem wage rates in the locality, if any, listed in the written authorization for the performance of construction, alteration, demolition or repair work as defined in Section 1720 of the State of California Labor Code for each

craft, classification, or type of worker required to perform the Covered Services hereunder. A schedule of the general prevailing per diem wage rates will be on file at University's principal facility office and will be made available to any interested party upon request. By this reference, such schedule is made part of this Agreement. CM shall pay not less than the prevailing wage rates, as specified in the schedule and any amendments thereto, to all workers employed by CM in the execution of the Covered Services hereunder. CM shall cause all subcontracts or subconsultant agreements to include the provision that all subcontractors shall pay not less than the prevailing wage rates to all workers employed by such subcontractor in the execution of the Covered Services hereunder. CM shall forfeit to University, as a penalty, not more than \$200 for each calendar day, or portion thereof, for each worker that is paid less than the prevailing wage rates as determined by the Director of Industrial Relations for the work or craft in which the worker is employed for any portion of the Covered Services hereunder performed by CM or any subcontractor or subconsultant. The amount of this penalty shall be determined by the Labor Commissioner pursuant to applicable law. Such forfeiture amounts may be deducted from the CM fee. CM shall also pay to any worker who was paid less than the prevailing wage rate for the work or craft for which the worker was employed for any portion of the Covered Services hereunder, for each day, or portion thereof, for which the worker was paid less than the specified prevailing per diem wage rate, an amount equal to the difference between the specified prevailing per diem wage rate and the amount which was paid to the worker.

### **11.3 PAYROLL RECORDS**

1. CM and all subcontractors shall keep an accurate payroll record, showing the name, address, social security number, job classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyworker, apprentice, or other employee employed in connection with the Covered Services hereunder. All payroll records shall be certified as being true and correct by CM or subcontractors keeping such records; and the payroll records shall be available for inspection at all reasonable hours at the principal office of CM on the following basis:
  - a. A certified copy of an employee's payroll record shall be made available for inspection or furnished to such employee or the employee's authorized representative on request.
  - b. A certified copy of all payroll records shall be made available for inspection upon request to University, the State of California Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards of the State of California Division of Industrial Relations.
  - c. A certified copy of all payroll records shall be made available upon request by the public for inspection or copies thereof made; provided, however, that the request by the public shall be made to either University, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement. The public shall not be given access to such records at the principal offices of CM or subcontractors. Any copy of the records made available for inspection as copies and furnished upon request to the public or any public agency by University shall be marked or obliterated in such a manner as to prevent disclosure of an individual's name, address, and social security number. The name and address of CM awarded the Agreement or performing the Agreement shall not be marked or obliterated.
2. CM shall file a certified copy of the payroll records with the entity that requested the records within 10 days after receipt of a written request. CM shall inform University of the location of such payroll records for the written authorization, including the street address, city, and county; and CM shall, within 5 working days, provide notice of change of location of such records. In the event of noncompliance with the requirements of this Paragraph or with the State of California Labor Code Section 1776, CM shall have 10 days in which to comply following receipt of notice specifying in what respects CM must comply. Should noncompliance still be evident after the 10-day period, CM shall forfeit to University, as a penalty, \$100 for each day, or portion thereof, for each worker, until strict compliance is accomplished. Such forfeiture amounts may be deducted from the CM fee.

### **11.4 APPRENTICES**

1. Only apprentices, as defined in the State of California Labor Code Section 3077, who are in training under apprenticeship standards and written apprentice agreements under Chapter 4, Division 3, of the State of California Labor Code, are eligible to be employed by CM and subcontractors as apprentices for the Covered Services hereunder. The employment and training of each apprentice shall be in accordance with the provisions of the apprenticeship standards and written apprentice agreements under which the apprentice is training and in accordance with prevailing wage law pursuant to the Labor Code, including but not limited to Section 1777.5. The CM bears responsibility for compliance with this section for all apprenticeable occupations.

2. Every apprentice shall be paid the standard wage to apprentices, under the regulations of the craft or trade at which the apprentice is employed, and shall be employed only for the Covered Services hereunder in the craft or trade to which the apprentice is indentured.
3. When CM or subcontractors employ workers in any apprenticeship craft or trade for the Covered Services hereunder, CM or subcontractors shall apply to the joint apprenticeship committee, which administers the apprenticeship standards of the craft or trade in the locality, if any, listed in the written authorization for the performance of construction, alteration, demolition or repair work as defined in Section 1720 of the State of California Labor Code, for a certificate approving CM or subcontractors under the apprenticeship standards for the employment and training of apprentices in the locality so identified. The committee will issue a certificate fixing the number of apprentices or the ratio of apprentices to journeymen who shall be employed in the craft or trade on the Covered Services hereunder. The ratio will not exceed that stipulated in the apprenticeship standards under which the joint apprenticeship committee operates; but in no case shall the ratio be less than one hour of apprentice work for every five hours of journeyman work, except as permitted by law. CM or subcontractors shall, upon the issuance of the approval certificate in each such craft or trade, employ the number of apprentices or the ratio of apprentices to journeymen fixed in the certificate issued by the joint apprenticeship committee or present an exemption certificate issued by the Division of Apprenticeship Standards.
4. "Apprenticeship craft or trade," as used in this Paragraph, shall mean a craft or trade determined as an apprenticeship occupation in accordance with rules and regulations prescribed by the Apprenticeship Council.
5. If CM or subcontractors employ journeymen or apprentices in any apprenticeship craft or trade in the locality, if any, listed in the written authorization for the performance of construction, alteration, demolition or repair work as defined in Section 1720 of the State of California Labor Code, and there exists a fund for assisting to allay the cost of the apprenticeship program in the trade or craft, to which fund or funds other contractors in the locality so identified are contributing, CM and subcontractors shall contribute to the fund or funds in each craft or trade in which they employ journeymen or apprentices on the Covered Services hereunder in the same amount or upon the same basis and in the same manner done by the other contractors. CM may include the amount of such contributions in computing its compensation under the Agreement; but if CM fails to do so, it shall not be entitled to any additional compensation therefore from University.
6. In the event CM willfully fails to comply with this Paragraph 11D, it will be considered in violation of the requirements of the Agreement.
7. Nothing contained herein shall be considered or interpreted as prohibiting or preventing the hiring by CM or subcontractors of journeyman trainees who may receive on-the-job training to enable them to achieve journeyman status in any craft or trade under standards other than those set forth for apprentices.

#### **11.5 WORK DAY**

1. CM shall not permit any worker providing Covered Services to labor more than 8 hours during any 1 day or more than 40 hours during any 1 calendar week, except as permitted by law and in such cases only upon such conditions as are provided by law. CM shall forfeit to University, as a penalty, \$25 for each worker employed in the execution of this Agreement by CM, or any subcontractors or subconsultant, for each day during which such worker is required or permitted to work providing Covered Services more than 8 hours in any 1 day and 40 hours in any 1 calendar week in violation of the terms of this Paragraph or in violation of the provisions of any law of the State of California. Such forfeiture amounts may be deducted from the compensation otherwise due under this Agreement. CM and each subcontractor or subconsultant shall keep, or cause to be kept, an accurate record showing the actual hours worked each day and each calendar week by each worker employed under this Agreement, which record shall be kept open at all reasonable hours to the inspection of University, its officers and agents, and to the inspection of the appropriate enforcement agency of the State of California.

#### **11.6 PATIENT HEALTH INFORMATION**

1. CM acknowledges that its employees, agents, subcontractors, consultants and others acting on its behalf may come into contact with Patient Health Information ("PHI") while performing work at the Project Site. This contact is most likely rare and brief (e.g. walking through a clinic where patient files may be visible, overhearing conversations between physicians while working or touring a hospital, noticing a relative or acquaintance receiving treatment in a University facility, etc.). CM shall immediately notify University Representative of any such contact. Any and all forms of PHI should not be examined closer, copied, photographed, recorded in any manner, distributed or shared. CM will adopt procedures to ensure that its employees, agents and subcontractors refrain from such activity. If CM, its employees, agents or subcontractors do further examine, copy, photograph, record in any manner, distribute or share this

information, CM will report such actions immediately to the University Representative. CM will immediately take all steps necessary to stop any such actions and will ensure that no further violations of this contractual responsibility will occur. CM will report to University Representative within five (5) days after CM gives University Representative notice of the event/action of the steps taken to prevent future occurrences.

#### **11.7 INFECTIOUS ILLNESS PRECAUTIONS**

- a. The University is committed to avoiding the transmission of infectious diseases in and within the hospital. The Consultant shall inform all workers on the job site not to enter the hospital if they suspect they have a communicable illness that could be transmitted.
- b. All employees of Consultant and its Subconsultants working at the University are encouraged to have an annual influenza vaccine. During Flu Season, all of Consultant's and its Subconsultants' job site workers may be required to show verification that they received the flu vaccine.
- c. All employees of Consultant and its Subconsultants shall comply with all current COVID-19 prevention, screening, masking, vaccination, testing, and any other related requirements at the UC Davis Health project jobsite according to State and County mandates and University policies.

#### **11.8 CODE OF CONDUCT**

1. The usage of all types of two-way radio devices must be approved. Usage of cellular phone devices within 3 feet of any medical device is not allowed. In areas where there are signs indicating "Cell Phones Must Be Turned Off", cell phone must be POWERED OFF, not simply in vibrate or silent mode. "Airplane" mode with Wi-Fi ON is acceptable in "OFF" areas.
2. You will be responsible for your employees conduct while on the job site, i.e. whistling, profanity, garbage, dress code, etc. You are required to inform your employees working at the construction site that the University is strongly opposed to sexual harassment and that such behavior is prohibited both by law and by University policy. It is the intention of the University to take whatever action may be needed to prevent, correct, and, if necessary, discipline behavior which violates this policy.
3. For the health and safety of patients, visitors, volunteers, and staff; smoking, the use of smokeless tobacco products, and the use of unregulated nicotine products (e-cigarettes) is not allowed on any UC Davis owned or leased property, indoors and outdoors, including, parking lots, roadways and residential spaces. CM is responsible for enforcement of this policy with all employees, workers, vendors, suppliers, and subconsultants at the job site.
4. You are required to establish a policy of non-discriminatory practice in all personnel actions.
5. In accordance with UC Davis Health Hospital Policies and Procedures Policy ID: 2202, identification badges are required and must be worn at all times while on campus. Consultant is required to email the Project Manager to request identification badges for all its employees and subconsultants that will be working at UC Davis Health jobsites.
6. The University prohibits and has zero tolerance for workplace violence. Any disruptive behavior, act of intimidation, threat of violence or act of violence committed against any person and or property is prohibited.

### **ARTICLE 12 – EXTENT OF AGREEMENT**

#### **12.1 AUTHORITY OF AGREEMENT**

**12.1.1** This Agreement represents the entire and integrated agreement between University and CM and supersedes all prior negotiations, representations, or agreements, either written or oral. This Agreement may be amended only by a written instrument signed by both University and CM.

#### **12.2 EXHIBITS**

**12.2.1** This Agreement includes the following exhibits attached hereto:

Exhibit A: Supplemental Services

- Exhibit B: Rate Schedule for Additional Services
- Exhibit C: Reimbursement Schedule
- Exhibit D: Certificate of Insurance
- Exhibit E: Capital Improvement Budget (Project Budget)
- Exhibit F: Executive Design Professional Agreement
- Exhibit G: Prime Trade Contracts (if applicable, otherwise delete and add "not used")
- Exhibit H: Contractor General Conditions with Supplemental Conditions
- Exhibit I: Written Order (Authorization) to Perform Services
- Exhibit J: Amendment
- Exhibit K: Self-Certification
- Exhibit L: Final Distribution of Contract Dollars
- Exhibit M: Services Scope

**12.3 THIRD-PARTY BENEFICIARIES**

**12.3.1** Nothing contained in this Agreement is intended to make the Contractor or any Subcontractor (regardless of tier), any employee or agent of the Contractor or any Subcontractor, or any person, including Design Professional or any consultant of Design Professional (regardless of tier), a third-party beneficiary of any obligations between University and CM.

**12.4 SURVIVAL**

**12.4.1** The provisions of this Agreement which by their nature survive expiration or termination of the Agreement or Final Completion of the Project(s) or the performance of services under this Agreement, including any and all warranties, confidentiality, indemnities, payment obligations, and University's right to audit CM's books and records, shall remain in full force and effect after any expiration or termination of the Agreement or Final Completion of the Project(s) or the performance of services under this Agreement.

**ARTICLE 13 – FEDERAL AND STATE GRANTS**

In the event that a federal or state grant or other federal or state financing is used in the funding of this Project, CM shall permit the funding agency or its designee access to, and grant the funding agency the right to examine documents covering the services performed under this Agreement. CM shall comply with applicable federal or state agency requirements including, but not limited to, the requirements regarding hours, overtime compensation, nondiscrimination, and contingent fees.

**ARTICLE 14 – NOTICES**

**14.1 UNIVERSITY**

Any notice may be served upon University by delivering it, in writing, to University at the address set forth on the last page of this Agreement, or by depositing it in a United States Postal Service deposit box with the postage fully prepaid and with the notice addressed to University at the aforementioned address or to said address via express overnight delivery service. Notice is effective only if and when it is actually received.

**14.2 CONSTRUCTION MANAGER**

Any notice may be served upon CM by delivering it, in writing, to CM at the address set forth on the last page of this Agreement, or by depositing it in a United States Postal Service deposit box with the postage fully prepaid and with the notice addressed to CM at the aforementioned address or to said address via express overnight delivery service. Notice is effective only if and when it is actually received.

**ARTICLE 15 – SUCCESSORS AND ASSIGNS**

This Agreement shall be binding upon University and CM and their respective successors and assigns. Neither the performance of this Agreement nor any part thereof, nor any monies due or to become due hereunder, may be assigned by CM without the prior written consent and approval of University.

**15.1 CM'S DEATH OR INCAPACITATION**

**15.1.1** If CM transacts business as an individual, upon CM's death or incapacitation, University may, at its option, terminate this Agreement as of the date of such event. If so terminated, neither CM nor CM's estate shall have any

further right to perform hereunder, and University shall pay CM or the estate the compensation payable under Article 5 for any services rendered prior to this termination not theretofore paid. This compensation shall be reduced by the amount of additional costs that will be incurred by University by reason of this termination.

**15.1.2** If CM transacts business as a corporation, partnership, sole proprietorship or other entity with more than one officer, employee, or representative accepted by the University as the CM, and any one of them dies or becomes incapacitated, and the others continue to render the services covered herein, University may choose to continue services with CM and make payments to CM as though there had been no such death or incapacitation; University will not be obliged to take any account of the person who died or became incapacitated, or to make any payment to this person or this person's estate. These provisions shall apply in the event of progressive or simultaneous occasions of death or incapacitation among any group of persons retained or employed by CM herein. If death or incapacitation befalls the last member of this group before the services under this Agreement are fully performed, then the rights set forth under subparagraph 15.1.1 shall apply.

## **ARTICLE 16 – TERMINATION OF AGREEMENT**

### **16.1 UNIVERSITY-INITIATED TERMINATION**

**16.1.1** If University determines that CM has failed to perform in accordance with the terms and conditions of this Agreement, University may terminate all or part of the Agreement for cause. This termination shall be effective if CM does not cure its failure to perform within 10 days (or more, if authorized in writing by University) after receipt of a notice of intention to terminate from University specifying the failure in performance. If a termination for cause does occur, University will have the right to withhold monies otherwise payable to CM until the Project is completed. If University incurs additional costs, expenses, or other damages due to the failure of CM to properly perform pursuant to the Agreement, these costs, expenses, or other damages shall be deducted from the amounts withheld. Should the amounts withheld exceed the amounts deducted, the balance will be paid to CM upon completion of the Project. If the costs, expenses, or other damages incurred by University exceeds the amount withheld, CM shall be liable to University for the difference.

**16.1.2** University may terminate this Agreement for convenience at any time upon written notice to CM, in which case University will pay CM in full for all services performed and all expenses incurred under this Agreement up to and including the effective date of termination. In ascertaining the services actually rendered to the date of termination, consideration will be given to both completed Work and Work in progress, whether delivered to University or in the possession of CM, and to authorized Reimbursable Expenses. No other compensation or lost profit will be payable for such termination.

### **16.2 CM-INITIATED TERMINATION**

**16.2.1** CM may terminate this Agreement for cause if University fails to cure a material default in performance within a period of 30 days, or such longer period as CM may allow, after receipt from CM of a written termination notice specifying the default in performance. In the event of termination for cause by CM, University will pay CM in accordance with subparagraph 16.1.2.

### **16.3 DOCUMENTS AND MATERIALS**

**16.3.1** In the event of Agreement termination by either party for any reason, University reserves the right to receive, and CM shall promptly provide to University, all documents and materials prepared by CM for the Project. In the event of termination, any dispute regarding the amount to be paid under Article 16 shall not derogate from the right of University to receive and use such documents or materials.

**[THIS SPACE INTENTIONALLY LEFT BLANK]**

IN WITNESS WHEREOF, UNIVERSITY and CONSTRUCTION MANAGER have executed this Agreement as of Month, Day, Year (see Cover Page).

CONSTRUCTION MANAGER:

{FIRM NAME}

By: {Name}  
{Title}

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

CM ADDRESS:

Address  
City, State, Zip Code

CM TELEPHONE NUMBER:

###-###-####

E-MAIL:

e-mail

UNIVERSITY:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

By: Jason Nietupski  
Vice President  
Facilities Planning and Development  
UC Davis Health

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

UNIVERSITY ADDRESS:

Facilities Planning and Development  
UC Davis Health  
4800 2<sup>nd</sup> Avenue, Suite 3010  
Sacramento, CA 95817

UNIVERSITY TELEPHONE NUMBER:

916-734-7024

E-MAIL:

[ca@health.ucdavis.edu](mailto:ca@health.ucdavis.edu)

## CONSTRUCTION MANAGEMENT AGREEMENT – BLANKET

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**EXHIBIT A  
SUPPLEMENTAL SERVICES**

**Listed below are Supplemental Services that shall be part of CM's scope per this Agreement. None of the services listed shall provide additional authority, as defined and limited in Article 2.1.4 of the CM Agreement.**

**INSTRUCTIONS: REVIEW EACH ITEM BELOW FOR APPLICABILITY TO YOUR PROJECT. FOR ANY SERVICE OR SCOPE ITEM NOT USED IN YOUR PROJECT; PLEASE EDIT AS NEEDED OR REMOVE ITEM DESCRIPTION AND REPLACE WITH "NOT USED".**

**1. CM GENERAL PROJECT PROVISIONS**

1.1. Staffing

1.1.1. CM shall chair and record {biweekly (every two weeks)} progress meetings between various parties, enhance project communication regarding Time, Cost and Quality, act as a liaison with different Contractor(s), SubContractor(s) and Suppliers.

1.1.2. CM shall manage the A/E design team in meeting the design schedule and the Contractor(s) in meeting the Project Schedule.

**2. CM PRE-CONSTRUCTION SERVICES**

2.1.1 CM shall evaluate the Project Program, Project site, and Project Budget, and shall make recommendations to University regarding possible design, site, or construction alternatives.

2.1.2 Within fifteen (15) days of the Notice to Proceed, CM shall develop and implement integrated management control systems, utilizing both manual and automated procedures (using the University's format and systems as requested) to support such functions as planning, organizing, scheduling, budgeting, reporting progress and expenditures, and identifying and documenting problems and solutions. CM shall also implement management control system after the integrated management control system has been reviewed and accepted in writing by the University.

2.2. Replace Item 2.1.10 of the CMA with the following:

CM shall develop a Total Project Schedule Control System in consultation with University and Design Professional which shall be the initial basis upon which CM monitors and evaluates the progress of the Project. The Schedule Control System shall be in accordance with the Project Schedule, and shall define design, construction, and University occupancy phases, delineating the responsibilities of University, Design Professional, CM and Contractor. The Schedule Control System shall be subject to written approval by University. The System shall use the Critical Path Method (CPM) of scheduling. CM shall develop a Preliminary Master Project Schedule for inclusion in the Contract Documents which shall show all project related construction activities; including sequencing and durations for work tasks of the Contractor(s), including major milestones. The Preliminary Master Project Schedule shall be able to be filtered per each Contractor (as necessary) to illustrate their planned basic construction sequence and interface with other Contractor(s). In the preparation of the Preliminary Master Project Schedule, CM shall investigate the procurement lead-time required for delivery of time-critical items and incorporate these into the Preliminary Master Project Schedule. The schedule shall treat the phases of the work and the responsibilities of the participants separately for each component of the Project. The schedule will be able to be reviewed either by a time-scaled bar chart or as a summary bar chart identifying major milestones. This schedule will include all Preconstruction activities and will be updated every {two} weeks and issued at {biweekly} meetings.

2.3. CM shall prepare and submit to the University an independent written Project Construction Cost Estimate based upon the unit cost per square foot for similar types of projects. The estimate shall use the Construction Specification Institute format for itemizing costs by Work Activity. CM shall revise Project Construction Cost Estimate based on the documents submitted by Design Professional for Schematic Design ("SD"), Design Development ("DD") at 50% submittal, again at 100% submittals, 50% Construction Documents, 100% Construction Documents ("CD"), and again at the end of the backcheck of the corrected 100% submittal. If requested by University, CM shall meet with Design Professional to reconcile discrepancies between its estimate and Design Professionals' estimate and recommend design modifications to maintain budget and schedule. The estimate will be based on detailed quantity takeoffs of the design drawings and specifications. CM shall obtain the University's written approval of estimate

format and structure prior to proceeding with estimate.

2.4. CM shall coordinate and participate in {monthly} Value Engineering sessions starting at 100% SD through 100% CD. Adjust cost estimates as required.

2.5. CM shall develop a Project Procedures Manual in conjunction with Design Professional(s) and approved by the University for all major project activities including, but not limited to, roles and responsibilities; project directories; communications protocols; project tracking forms; general administration processes and monthly reports; budget reporting and control; management of the schedule; design review; value analysis; bidding process; claims avoidance; project documentation and control; and other relevant information.

2.6. CM shall prepare a detailed work plan identifying all CM tasks required for the completion of work as provided in this Agreement.

2.7. Within fifteen (15) days from the execution of this Agreement, CM shall submit to the University the proposed scheduling system it plans to use for its scheduling effort on the project. The scheduling software should be Primavera P3, Microsoft Project, or approved equal. Approval for any proposed equal shall be in writing from the University.

2.8. CM shall assist University's design reviews by scheduling and coordinating reviews and compiling and conveying University's comments to the Design Professional. CM shall perform quality control review of the drawings and specifications throughout the design phase in order to reduce errors and omissions, in an effort to minimize change orders during construction. These quality control ("QC") reviews will include constructability analyses. CM shall organize and publish a detailed QC report and assist Architect with coordination between disciplines.

2.9. CM shall review equipment and furniture design with the University to assist with proper coordination with bid package(s) for the building construction. CM shall provide assistance and field coordination as necessary with contracts for installation of built-in furnishings and fixtures to coordinate with building occupancy.

2.10. At the end of Design Development, CM shall provide a list of recommended alternates for each bid package. CM shall establish a bidding strategy commensurate with the University's desire for risk.

2.11. CM shall identify long lead items and create early bid package(s) for the same, all in compliance with applicable laws governing competitive bidding.

2.12. Prior to bidding and based on projected bid market conditions, CM shall recommend in writing to the University the percentage of bid contingency to carry for each bid package.

2.13. CM shall research existing site conditions and utilities and prepare a detailed site logistics plan to be incorporated into the bid documents.

### **3. CM BID PHASE SERVICES**

3.1.1. CM shall provide University with an analysis of market conditions prior to the publication of the Advertisement for Bids, recommend release dates of bidding documents and the duration of the bidding period for the Project, or for portions of the Work, as applicable, and advise University of the likelihood of obtaining bids that are within the Construction Budget.

3.1.2. CM shall be responsible, with the assistance of the Design Professional and the University, for sequencing, assembly, detailed scope definition and preparation of bid package(s) to assure that all items as indicated in Contract Documents, including coordination of details, are included with bid documents. CM shall analyze the bid package(s), identify elements of uncertainty or risk prior to the bidding, endeavor to eliminate conflicts, duplications and omissions and mitigate the University's exposure to bidding error through instructions to bidders.

3.1.3. CM shall assemble bid package(s) in a complete, coordinated and most cost-effective manner for the University. CM shall obtain all necessary design documents from the Design Professional and assist the University in arranging for printing, binding, wrapping and delivery to the bidders, and shall assist with maintaining a list of bidders receiving the Bid Documents. For multi-prime delivery, CM shall recommend appropriate subdivision of work into discrete bid package(s). The University shall be responsible for all postage, delivery and printing costs.

3.1.4. CM shall review, recommend and estimate allowances, alternates, unit prices and other requirements for inclusion in the bid package(s).

3.1.5. CM shall develop lists of possible bidders to solicit bids for the Contractor(s)' bid package and provide pre-bid Contractor qualification criteria when requested by the University. CM shall conduct an outreach effort to attract broad interest among qualified bidders. CM shall contact potential bidders to develop a sufficient pool of bidders. CM shall secure the commitment to bid from a minimum of three (3) bidders for each Contract.

3.1.6. CM shall assist the University as directed, in responding to Contractor questions during the bid period and at pre-bid conferences, pre-construction conferences and walk-throughs. CM shall coordinate dissemination of the Design Professional's response as required.

3.1.7. CM shall evaluate the bids received in detail for technical deficiencies. CM shall analyze the bid results for potential error, review the apparent low bids for Contractor responsiveness and compliance with all University General Conditions and compliance with the Contract Documents, and shall recommend award or other action. CM shall assist the University in determining if a potential bidder is non-responsive, and, at University's request, CM shall provide a debriefing of the decision to that Contractor. CM shall review the bid results for such bidding climate issues as bid responsiveness, adequacy in the number of bidders and the spreading or grouping of bid results. CM shall make recommendations as to which add or delete alternatives to award.

3.1.8. If the lowest responsible bid received exceeds the Construction Budget by more than 10%, or exceeds the portion of the Construction Budget related to a specific prime trade bid by more than 10% in the case of multiple prime bids, University may, at its discretion, exercise the options available to it under subparagraph 2.5.2 of the Executive Design Professional Agreement, and direct CM to, at its own cost, rebid the Work following the University's review and approval of recommended programmatic or other changes in the Project scope or quality, or both, that would reduce costs.

3.1.9. CM shall assist University and Design Professional in reviewing individual construction bids submitted for the Project and prepare a report (1) summarizing the bid results, (2) comparing the lowest responsible bid with the current Construction Budget, and (3) where prequalification has not been utilized, evaluating whether the contractor and subcontractor(s) listed in the lowest responsible bid meet the applicable minimum experience requirements set forth in the Construction Documents

3.1.10. CM shall draft contracts with letters of selection for signature by the University.

#### **4. CM CONSTRUCTION PHASE SERVICES**

4.1.1. CM shall provide and mobilize project site office, including procurement and installation of trailer(s), utility hookup for trailer(s), providing the following: office equipment and reasonable furniture, telephone and facsimile equipment, copy machine, computer equipment with e-mail, photographic equipment and other equipment and supplies necessary to provide all services under this Agreement.

4.1.2. CM shall be responsible for fencing the perimeter of the site and maintaining the fence for the duration of construction.

4.1.3. CM shall review all Contracts, bonds, insurance certificates and schedules of values and shall draft Notices to Proceed for the University's signature.

4.1.4. CM shall serve as the administrative focal point for all activity and information between the University, the Design Professional, the Contractor(s) and other construction activity. All instructions from the Design Professional to the Contractor(s) will be forwarded through CM with copies to the University. Inquiries of the Design Professional from the Contractor(s) will be forwarded through CM to the Design Professional and, simultaneously, to the consulting engineers and the University.

4.1.5. CM shall attend and record all project meetings. Provide on-site and associated construction site logistics review and control. Conduct and record regular {weekly} construction progress meetings and all other meetings as may be necessary for the orderly progress of the work, to coordinate and schedule activities of Contractor(s), design professionals, University staff and others as required. CM shall keep minutes of all meetings and distribute these as directed by the University.

4.1.6. CM shall track and transmit documents to regulatory agencies (when not a Contractor obligation) for review and advise the University of potential problems in completion of such reviews.

4.1.7. CM shall coordinate efforts between Contractor(s) and Design Professional to clarify interpretation of plans and specifications. CM shall work with Design Professional on the interpretation of plans and specifications. CM shall

review all requests for clarification and appropriateness prior to forwarding to Design Professionals. All routine requests shall normally be either forwarded or rejected within one (1) working day from time of receipt. CM shall notify the University, immediately, in writing, in cases of a disagreement on interpretation that cannot be resolved between the Contractor and Design Professionals. In such notification, CM shall make a recommendation as to the interpretation for final resolution by the University. While clarification of contract documents is primarily the responsibility of Design Professional, CM shall ensure that these clarifications and responses are timely, meaningful and in the best interests of University and shall follow through until resolution is achieved. CM shall track outstanding requests until resolved.

4.1.8. CM shall assist the University in administration of Contract Administration provisions of the Design Professional's contract during the construction support phase, including coordination of site trips.

4.1.9. As directed by the University, and per this Agreement, CM shall manage and administer all phases of construction activities in an effort to achieve the completion of all Contract(s) within the requirements of the Contract Documents. For Multiple Prime Delivery, CM shall coordinate the work of the Contractor(s) to optimize efficiency and minimize conflict and interference between the various Contractor(s) on-site.

4.1.10. CM shall coordinate and administer the shop drawing review and approval process and advise Design Professionals of any unusual site conditions or Contract Document requirements affecting shop drawing approvals. CM's Master Project Schedule shall establish submittal schedules that allow sufficient time for review and interpretation. CM shall verify and document that the shop drawing process is adhering to the submittal schedule. CM shall review submittals for format, compliance and general completeness prior to forwarding to Design Professional for review. CM shall be responsible for forwarding or rejecting all submittals expeditiously and processing in time frames stipulated in Contract specifications.

4.1.11. CM shall prepare and provide written monthly progress reports, including information on progress, problems, potential solutions, schedule and fiscal conditions, and meet with the University on an as-needed basis. Provide copies in electronic format and hard copy to the University for off-site storage backup on a monthly basis. CM shall assemble, deliver and distribute five (5) copies of the monthly report with one additional loose-leaf master copy. In addition to the monthly report, CM shall furnish to University upon reasonable request such other reports, schedules, logs, minutes or plans as directed by the University.

4.1.12. CM shall utilize forms, formats and standards approved by the University.

4.1.13. Provide sufficient digital photos and/or videos to show general construction progress on a {biweekly} basis throughout the duration of construction. The minimum quantity and viewpoints shall be mutually agreed to by the University and CM. Provide digital files of images to the University as requested.

4.1.14. CM's construction management responsibility shall include using its proactive efforts to assure the Contractor(s) are meeting all the terms of the Contract Documents, including insurance, bonding and all other University General Conditions. CM shall provide on-site quality control and inspection to ensure substantial compliance with documents, drawings and contract specifications, schedule coordination and information coordination for all construction activities on the construction site such as parking and construction staging areas. CM shall schedule and coordinate testing and inspection services following Contractor(s)'s request. This will include coordination with the Contractor(s) of the scheduling of all University's Inspectors, Fire Marshall, Test Labs, Materials Inspectors and other inspections as required.

4.1.15. CM's control of the project in general shall not include the immediate direction of the specific means and methods of Contractor(s)' activities or forces, or their scheduling of individual work tasks; except for that required to create, update or revise the Master Project Schedule and to assure the project is completed within the project time. The revised Master Project Schedule shall be part of the {biweekly} meeting that CM shall chair.

4.1.16. For Multiple Prime Delivery, CM's responsibility shall include timely coordination of the Master Schedule between Contractor(s), conflict resolution and using CM's efforts to resolve and expedite resolutions of any work that may be disputed between Contractor(s). CM shall notify University and take other immediate action as allowed by this Agreement, as necessary, to correct noncompliance with the Contract Document.

4.1.17. CM shall determine the adequacy of Contractor(s)' personnel, equipment, safety programs and availability of materials and supplies. If these items are determined inadequate, CM shall develop a plan of recovery with the Contractor(s) and shall enforce the applicable provisions of the Contract Documents within its authority given by this Agreement. Should any Contractor be judged unable to perform per its Contract, CM shall notify the University in writing immediately and recommend follow-up actions, including acting on performance bond, terminating Contractor

work, if necessary, or engaging a replacement Contractor.

4.1.18. CM shall conduct and record regular {biweekly} Mechanical/Electrical/Plumbing coordination meetings to review Coordination Drawings and other coordination issues with all related Contractor(s) or subcontractors.

4.1.19. Prior to close-up of concealed areas, CM shall coordinate all Campus Fire Marshall, OSHPD, and all other applicable inspections with the University's Inspector and Contractor(s) and create a punch list and distribute to all affected Contractor(s).

4.1.20. CM shall conduct regular walk-throughs of the project with University management and project personnel, including at least two (2) formal reviews by University Facilities Maintenance staff at appropriate comment periods.

4.1.21. CM shall coordinate the delivery, storage and inventory of University-supplied materials and equipment to the Contractor(s).

4.1.22. CM shall continuously require and follow up with Contractor(s) about their job site maintenance and their conformance in providing a safe work place. CM shall enforce all safety-related requirements in the Contract Documents. CM shall assure that at all times, access to the site in case of fire or other campus emergency shall be maintained. CM shall monitor security of site for safety and impacts on neighboring facilities adjacent to the site and take immediate action, if required, when non-compliant conditions are discovered.

4.1.23. CM shall assure that Contractor(s) do not damage existing facilities or utilities; and protect trees and root systems both during demolition and construction.

4.1.24. CM shall develop and monitor an overall Safety Program for the project. The program shall be in compliance with applicable Federal, State and University regulations and Campus Standards and shall include Contractor(s)'s Safety Program. CM shall review, monitor and coordinate the implementation of individual Contractor(s)' Safety Programs. CM shall confirm that Contractor(s)' Safety Programs include, but are not limited to, weekly formal safety tours, weekly Safety Toolbox Meetings (with documented minutes), and daily check of safety of the Project. CM's Project Superintendent, or his/her designated representative, shall be responsible for implementing, controlling and monitoring CM's own Safety Program and reviewing and monitoring the Contractor(s)' Safety Programs.

4.1.25. CM shall coordinate the initial startup and testing of utilities, building, electrical and mechanical systems and equipment with the Commissioning Agent and Contractor as directed by the University. CM shall coordinate Contractor(s)'s training of University's facilities maintenance and other personnel in conjunction with the University. CM shall videotape the Contractor(s)' training sessions for future reference and provide a copy of the videotape to University with other project closeout documents.

4.1.26. CM shall coordinate all required utility shutdowns, road closures, traffic closures, and the like. This coordination shall follow procedures at the Facility, and or direction, as provided by the University.

4.1.27. CM shall review all progress payment requests and approve or modify them before recommending payment by the University. CM shall track all Contractor payment requests and project fiscal transactions per University practices or approved alternative. CM shall provide cash flow reports and projections compatible with University's practices and procedures or approved alternative. CM shall identify variances between actual and budgeted or estimated costs, incorporate changes as they occur and shall advise the University whenever projected costs materially vary from the budget. CM shall report accounting records to University on a monthly basis, or as an exception, more often as reasonably requested by the University.

4.1.28. CM shall monitor and enforce Contractor(s) maintenance of an on-site set of record (as-built) drawings for all work that includes all approved addenda, Requests for Information (RFIs), Change Orders (COs), and Letters of Instruction (LOIs). Collate and maintain an accurate set of current working documents on-site at all times with backup (monthly, or as needed) stored off-site to prevent loss from vandalism, fire, etc. CM shall deliver Contractor(s)'s as-built plans to the Design Professional to develop record drawings and specifications within sixty (60) days of Final Completion. CM shall review record drawings and specifications for accuracy.

4.1.29. CM shall coordinate and administer scheduling, sequencing, change order requests, submittals, shop drawings, inspections, testing, etc. and constructability issues among the Design Professionals, University and Contractor(s). Contract administration services shall include: review comments, recommendations and tracking of all field-related construction services and information between CM, Design Professional, and all Contractor(s). Provide other communications and documentation as required to promote progress on the project. CM shall implement a Field Log System or monitor Contractor(s)'s Field Log System, as directed by the University. This system will be used

for tracking Requests for Information, Submittals, proposed and actual Field Orders and proposed and actual Change Orders and their status and will provide a data base which presents a chronology, including Field Orders completed, in progress, planned and projected and Change Orders completed, in progress, planned and projected. The Field Log System shall be in a relational database so that the relation between RFI's, Field Orders, Change Orders, and the like can be followed readily. CM shall use or monitor Contractor's Field Log System, as directed by the University. Approval for any proposed equal shall be in writing from the University.

4.1.30. MULTI-PRIME DELIVERY ONLY: CM shall review and advise the University on Contractor(s) critical path schedule submittal; and shall coordinate with other Contractor critical path schedules and the Preliminary Master Project Schedule bid with the Contract Documents, and incorporate those schedules into the project's Master Project Schedule. CM shall submit the finalized Master Project Schedule to the University for acceptance. Once accepted by the University, CM shall control and administer the Master Project Schedule, within the limits of Article 1.1.2 of this Exhibit. CM shall update the Master Project Schedule and monitor the critical path monthly or more frequently, as necessary, to avoid delays. CM shall be responsible for obtaining and maintaining information from the Contractor(s) affecting the schedule, including deliveries of construction materials and equipment and communicating schedule expectations and commitments thoroughly and clearly to all Contractor(s). CM shall monitor Contractor(s)' performance for compliance with the Master Project Schedule per the Contract Documents. The Master Project Schedule should track both planned and actual schedule throughout the Project. CM shall review the Master Project Schedule with the University periodically as required by the University.

4.1.31. CM shall maintain, monitor and update a Cost Control Spreadsheet. The Cost Control Spreadsheet shall compare the original Project Budget with the current Project cost, identify expenditures to date, state the budget required for completion of each bid package (including each category of Work within each bid package), identify actual and anticipated Change Orders, track the status of the construction contingency, and predict the current estimated total Project cost. All major changes and cost factors shall be described in a narrative that shall be attached to the Cost Control Spreadsheet. The current month's narrative shall identify any changes from the estimate in the previous month's report.

4.1.32. CM shall monitor commissioning of all equipment and building systems. Collect, organize and distribute all maintenance manuals and equipment documentation in a timely manner, in accordance with the requirements of the Contract Documents.

4.1.33. CM shall provide written notice to the University when notified by the Contractor in accordance with the Contract Documents that the work is ready for final inspection.

4.1.34. CM shall coordinate, consolidate and administer with the University and the Design Professional, the development and completion of a list of deficiencies (punch list) to determine completion of work and the subsequent correction of such deficiencies. CM shall provide follow-up on any items failing inspection for timely completion.

4.1.35. CM shall produce a weekly Inspection Progress Report that shall identify daily weather conditions, work activity, daily manpower, equipment, and visitors. All manpower and equipment shall be referenced to work activities on the Master Project Schedule.

## **5. CM POST CONSTRUCTION PHASE AND WARRANTY SERVICES**

5.1. CM shall manage completion of punch list, secure warranties from Contractor(s), secure all final inspections and prepare, for filing by the University, Notices of Final Completion for each Contractor.

5.2. CM shall make recommendations regarding final payments to Contractor(s), obtain final unconditional releases from all subcontractor(s) and suppliers, make recommendations for resolution of all change orders, make recommendations for payment of Contractor(s).

5.3. CM shall review Contractor(s)'s as built drawings, secure all maintenance and operations manuals, along with all critical project data, neatly organize and bind into volumes and deliver to the University.

5.4. CM shall Coordinate and administer closeout procedures as described in the Contract Documents and approved by the University to accomplish timely completion of Contracts. CM shall secure from the Contractor(s) and transmit to the University required guarantees, warranties, bonds, waivers, all keys, manuals, record drawings, maintenance stocks and originals of all other contract papers, including correspondence. Two (2) summary record books of all paint, equipment, carpet, etc. vendors, colors and types of equipment actually used for the project shall be secured from the Contractor(s) and transmitted to the University organized for easy retrieval of information.

5.5. CM shall include a performance evaluation of each Contractor and their listed subcontractor(s), prepared for the closeout of each Contract.

5.6. CM shall assist the University with warranty work during first year of warranty period.

## **6. CHANGES/DELAYS/DISPUTES/CLAIMS**

6.1. CM shall draft Field Orders, when warranted, for University's signature to ensure work proceeds without delay. CM shall coordinate a review of Field Orders for any design impact with the Design Professional.

6.2. When CM receives change order requests from the Contractor(s), CM shall evaluate them for validity and proposed cost, identify and record the source, negotiate satisfactory solutions for the requests and recommend approval or denial to University. CM shall provide analysis of Change Order Requests to include, but not be limited to, analyzing the validity of Contractor's estimates, determining the source of the change, analyzing and reporting effects of the Change Order Requests on overall Project cost, budget and schedule, and coordinate a review for any design impact with the Design Professional. CM shall track implementation and completion of approved Change Orders in a timely manner.

6.3. CM shall analyze deficiencies in the Contract Documents at the time of discovery to proactively assist the University in determining potential impact and resolution.

6.4. CM shall assist the University in resolving technical, architectural, engineering, testing, surveying, scheduling, sequencing and estimating issues and disputes, including change order cost and validating design and other changes during construction. CM shall maintain a record of all such disputes and issues and notify the University of all such disputes and any resolutions in its monthly reports

6.5. CM shall provide its best effort to expeditiously resolve claims and disputes between Contractor(s), or Contractor(s) and Design Professional, or Contractor(s) and Separate Contractor(s) or University with minimum disruption to the Project. CM shall assist the University in review and resolution of claims and disputes of the work of Contractor(s). CM shall maintain documentation and records on all relevant decisions and facts relating to claims and disputes on an ongoing basis. Records shall be maintained in an orderly manner and copied to the University on a regular basis. All documentation of the Project construction shall become the property of the University at the conclusion of the Project and shall be available for review by the University at any time pursuant to Article 8 of this Agreement. CM shall provide analysis, recommendation and a brief summary of each claim or dispute issue based on the size and complexity of the claim or dispute. When a notice of potential claim or dispute is filed by any person (Contractor, subcontractor, any person or entity providing labor, services or materials to the project), applicable information shall be developed and filed by CM in a timely manner so as to avoid adverse impact to the resolution of the claim or dispute. An issue is considered a claim when CM receives notice of a claim as required by the Contract Documents. If a claim is not resolved by completion of Project Closeout, a summary of the claim shall be filed by CM. The summary shall include an analysis of the claim in relation to the requirements of the Construction Documents. When a claim or dispute is filed, the following information shall be reviewed, updated, tracked and filed as required according to its appropriateness based on the size and complexity of the claim or dispute: any formal data, pertinent data and records such as daily Contractor reports, progress pay reports, special meeting notes, reports; documentation related to pertinent conversations with Contractor(s), Design Professional, Separate Contractor(s), University or other pertinent parties; inspection reports; captioned and dated photos and/or videotapes; applicable portions of the Master Project Schedule, including comparison of as-built schedule vs. planned; highlighted drawings, shop drawings, specifications related to the claim or dispute; relevant correspondence; and any other documentation that supports the position of the University, Contractor(s), CM, Design Professionals, etc.

6.6. CM shall advise the University whenever the progress of the work falls behind the Master Project Schedule, notify the University in writing and direct Contractor(s) to submit and execute a plan of recovery. If it is determined by CM and the University that the progress of the work is delayed by any fault of the Contractor(s), CM shall direct the Contractor(s) at the Contractor's own expense, per terms in the Contract, to work such overtime, or provide additional work forces, or provide additional materials and equipment as necessary to make up for all time lost. Should Contractor be judged unable to perform per the Master Project Schedule, CM shall notify the University in writing immediately and recommend follow-up actions including terminating Contractor work, if necessary. CM and the University shall decide what action to take to keep project on schedule.

**[End]**

**EXHIBIT B**  
**RATE SCHEDULE FOR ADDITIONAL SERVICES**

**FIRM NAME**

**Effective Date: Month/day/year**

The rates established by this Rate Schedule are effective for all Written Orders to Perform Services (processed as Authorizations) issued while this Agreement is in effect. Revisions, if any, to the rates will be made by issuing an amended Rate Schedule with a new effective date. A Written Order to Perform Services issued prior to the effective date of any such amended Rate Schedule will be paid at the rates established in the Rate Schedule in effect at the time the Written Order to Perform Services was signed and will not be automatically increased by any such amended Rate Schedule.

The services under this Agreement will be compensated in accordance with the following Rate Schedule unless a lump-sum fee is established in the applicable Written Order to Perform Services.

<u>Title/Job Classification</u>	<u>Billable Rate (per hour)</u>
XXXXXX	\$000.00

**COMPENSATION FOR CONSULTANT AND SUB-CONSULTANT SERVICES**

The University will compensate Consultant for services performed in accordance with this Agreement, computed on the following basis:

1. Agreed-upon Lump Sum: Includes anticipated cost of Sub-consultant, with additional payment allowed for reimbursable expenses of the Consultant and Sub-consultant.
2. Rate Schedule: A maximum not-to-exceed amount will be designated in a Written Authorization for services of Consultant and Sub-consultants, with additional payment allowed for reimbursable expenses. Payments for services of Consultant shall be computed on the basis of the rates specified in the Consultant Rate Schedule incorporated into this Agreement. Rates shall not be changed without the prior written approval of the University. Rates are subject to revision annually with University approval.
  - (a) Overtime: If authorized in advance by the University, expense of overtime work requiring higher-than-regular rates will be allowed.
  - (b) Sub-consultants: Reimbursement will be made for the cost of Sub-consultants employed pursuant to services authorized under this Agreement by payment of an amount equal to the amount paid by Consultant for the services of such Sub-consultants. Fees shall be approved in advance by the University. Requests for payment must be accompanied by copies of the Sub-consultants' receipts.
3. Compensation to be paid under a Written Authorization to Perform Basic Services shall not exceed the maximum amount established in the applicable Agreement.
4. Payment to Consultant will be made monthly, subsequent to the receipt of an invoice for each individual project. For work performed for an agreed-upon lump sum, Consultant shall invoice on the basis of the percentage of work completed. For work performed on the basis of hourly rates, Consultant shall itemize the costs for each individual.
5. The University will have the right to withhold payment from Consultant for any unsatisfactory service, until such time service is performed satisfactorily.
6. All invoices shall be emailed to: [capitalfinance@health.ucdavis.edu](mailto:capitalfinance@health.ucdavis.edu)  
Capital Finance  
UCD HAS Building  
10850 White Rock Rd.  
Rancho Cordova, CA. 95670

**EXHIBIT C**  
**REIMBURSEMENT SCHEDULE**

Compensation for reimbursable expenses will be allowed in addition to the fees for services, unless the Written Authorization indicates that reimbursables are included in the total amount authorized for services. **Items not specifically included in this Exhibit shall not be reimbursed without prior University approval. (Note: Sub-consultant professional services are considered a part of Consultant Services, and are, therefore, not a reimbursable expense.)**

Consultant shall submit itemized receipts, or other University-approved proof-of-payment, when requesting reimbursement. **Credit card statements, travel "itineraries", and employee expense reports are not acceptable receipts of payment.**

Consultants and Sub-consultants **shall not be reimbursed** for in-house computer time and outside computer services; costs associated with facsimile and modem communications, long distance and cellular telephone; and UCDMC parking fees.

**TRAVEL**

1. **Reimbursement for travel expenses shall only apply to transportation, lodging and living expenses for official travel by Consultant or Sub-consultant to project locations greater than 150 miles outside of the area of the Consultant's main office. Travel must be authorized in writing, in advance, by the University.**
2. Consultant shall not be reimbursed for travel between Consultant's own offices; travel between Consultant's offices and subconsultants' offices; and travel between Consultant's and subconsultants' offices and UC Davis Health. Excluded expenses for such travel are transportation, lodging, and per diem expenses, including bridge tolls.

Travel compensation shall be paid on the same basis and shall be subject to the same conditions as those in effect for employees of the University as follows:

**(a) Transportation:**

The UC Davis Health follows the IRS guidelines with respect to mileage reimbursements. The standard reimbursement mileage rate for the use of a car (also vans, pickups or panel trucks) for this calendar year, **2025, is \$0.70** per mile. The IRS updates this rate annually, you will be reimbursed accordingly.

Other transportation (receipts required)\* At cost

\*Includes commercial carrier fares and car rental charges (excluding insurance for rental vehicles); daytime and overnight auto parking; bridge tolls; and, all other charges for transportation services essential for office travel. First class air travel is not permitted without express written authorization in advance of the travel.

**(b) Lodging: ..... At cost**

Lodging rates in excess of \$333 per night require prior University approval.

**(c) Meals & Incidental Expenses \*\*: .....**

For periods in excess of 24 hours.....At cost (\$92.00/day/person maximum)

\*\*Consultant must be on travel status for more than 12 hours in order to be reimbursed for meals in connection with a trip of less than 24 hours. Excluded from reimbursement for meals is payment for alcoholic beverages.

**The University will not reimburse Consultants for equipment – i.e., cameras, computers, furniture, etc.**

**POSTAGE**

Expense of postage for packages (e.g. large sets of plans, shop drawings and submittals) shall be reimbursed. Reimbursements for expense of express mail services to destinations outside a project area, or courier services require prior University approval.

Excluded from reimbursement are expense of postage and express mail services incurred in conveying documents between the Consultant's own offices, and between the Consultant and all Sub-consultants.

**REPRODUCTION**

Expense of outside and in-house reproductions, with the exception of reproductions made for in-house use, shall be reimbursed.

**PRESENTATION MATERIALS**

Expense for models, drawings, renderings, presentation materials, film and film processing, that are not required under Article I of the agreement, Consultant Services and Responsibilities, shall be reimbursed with prior University approval.

**EQUIPMENT RENTAL**

Expense of equipment rental, including shipping costs, where appropriate, and charges for use of Consultant-owned equipment shall be reimbursed with prior University approval.

**SPECIAL FEES AND PERMITS**

Expense of special fees and permits shall be reimbursed with prior University approval.



# CERTIFICATE OF LIABILITY INSURANCE

(for non-UCIP Construction Projects and Consultant/Design Contracts)

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
	PHONE (A/C, No, Ext):	FAX (A/C, No):
	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	NAIC #
INSURED	INSURER A :	
	INSURER B :	
	INSURER C :	
	INSURER D :	
	INSURER E :	
	INSURER F :	

**COVERAGES**                      **CERTIFICATE NUMBER:**                      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS		
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR <hr/> <small>GENL</small> AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC								
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS								
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$								
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? <input type="checkbox"/> Y/N <b>N/A</b> <small>(Mandatory in NH)            If yes, describe under DESCRIPTION OF OPERATIONS below</small>						<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">WC STATUTORY LIMITS</td> <td style="width: 30%;">OTH-ER</td> </tr> </table>	WC STATUTORY LIMITS	OTH-ER
WC STATUTORY LIMITS	OTH-ER								
	<b>PROFESSIONAL LIABILITY</b> <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE								

**Special Provisions:**

- The Regents of the University of California, The University of California, University, and each of their Representatives, consultants, officers, agents, employees, and each of their Representative's consultants, are included as additional insureds on the general liability policy as required by contract and pursuant to additional insured endorsement CG2010 (11/85) or a combination of both CG 2010 (10/01 or 07/04) and CG 2037 (10/01 or 07/04) but only in connection with **Construction Management Agreement – Blanket**.
- The General Liability coverage contains a Severability of Interest provision and shall be primary insurance as respects The Regents of the University of California, its officers, agents and employees. Any insurance or self-insurance maintained by The Regents of the University of California shall be excess of and non-contributory with this insurance.

**CERTIFICATE HOLDER: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

<p><b>Forward to:</b> Facilities Design &amp; Construction          UC Davis Medical Center          4800 2<sup>nd</sup> Avenue, Suite 3010          Sacramento, CA 95817          Attention: <b>Contracts Group</b></p>	<p><b>SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.</b>  <b>AUTHORIZED REPRESENTATIVE</b></p>
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## EXHIBIT E CAPITAL IMPROVEMENT BUDGET (PROJECT BUDGET)

Review CIB for each project. See sample spreadsheet below.

CAPITAL IMPROVEMENT BUDGET BUDGET DATA				UNIVERSITY OF CALIFORNIA CAMPUS: DAVIS				CAPITAL IMPROVEMENT BUDGET BUDGET DATA				UNIVERSITY OF CALIFORNIA CAMPUS: DAVIS							
Project Title: MH East 2 & 3 P.U.m. & GI Remodel		Account Number: Campus Reference - 959230		Asset No.	CCCI: EPI: Cost Indexes	6955		Project Title: MH East 2 & 3 P.U.m. & GI Remodel		Account Number: Campus Reference - 959230		Asset No.	CCCI: EPI: Cost Indexes	6955					
<b>A FUNDING SCHEDULE</b>				<b>ANALYTICAL DATA</b>															
Totals (000s)				Column (1)				Column (2)				Column (3)				Total All Sources			
P	\$325			P	\$325 (HR)			ASF	Per PPG	ASF	ASF	ASF	6,300	ASF					
W	\$1,440			W	\$1,440 (HR)			ASF	Current	ASF	ASF	ASF	6,300	ASF					
C	\$14,465			C	\$14,465 (HR)			OGSF		OGSF	OGSF	OGSF	10,200	OGSF					
E	\$7,150			E	\$7,150 (HR)			OGSF		OGSF	OGSF	OGSF	10,200	OGSF					
Total Project: \$23,380				Total All Sources: \$23,380				Ratio (ASF Current / OGSF)				to 1.00							
<b>B FUNDING REFERENCES</b>				<b>CONSTRUCTION COST ANALYSIS</b>															
Account No.				Column (1)				Column (2)				Column (3)				Total All Sources			
Source																			
<b>C COSTS</b>				<b>CONSTRUCTION COST ANALYSIS</b>															
Site Clearance				Construction				Exterior Utilities				Site Development				Fees			
				\$11,660,000				71.8%											
AAE/PP&C				\$700,000				4.3%											
Surveys, Tests, Plans & Specifications				\$370,000				2.3%											
Special Items				\$500,000				3.1%											
SUBTOTAL				\$15,230,000				93.8%											
Contingency 8.5%				\$1,000,000				6.2%											
TOTAL P, W, C				\$16,230,000				100.0%											
Group 2 & 3 Equipment				\$7,150,000				44.1%											
TOTAL PROJECT				\$23,380,000															
Available Funding																			
Available Surplus/Deficit																			
<b>D FINANCING</b>				<b>STATUS OF PROJECT</b>															
Hosp Reserves (HR)				\$23,380,000															
TOTAL				\$23,380,000															
<b>E STATUS OF PROJECT</b>				<b>Project Planning Guide</b>															
Signature:				Budget No. 1															
Name: Joel T. Swift				Issue Date 9/28/16															
Title: Executive Director FPDO				Revised 8/17/20															
Approved for Campus, Date:				Revised															
Prepared By: Tom Emme				Signature:															
Program: Fiscal:				Title:															
Cost:				Approved AVP PPC, Date:															
				Issue Date 9/28/16															
				Revised 8/17/20															
				Revised															
				Subtotal				500,000											
				Interest During Construction															
				Total Sub #				500,000											
				Prepared By: Tom Emme															

**EXHIBIT F**  
**EXECUTIVE DESIGN PROFESSIONAL AGREEMENT**

*(REFER TO [HTTPS://WWW.UCOP.EDU/FACILITIES-MANUAL/DESIGN-CONTRACT-TEMPLATES/EDPA.HTML](https://www.ucop.edu/facilities-manual/design-contract-templates/edpa.html))*

**EXHIBIT G**  
**MULTI-PRIME TRADE CONTRACTS**

*(REFER TO [HTTPS://WWW.UCOP.EDU/CONSTRUCTION-SERVICES/FACILITIES-MANUAL/CONTRACT-TEMPLATES-CONSTRUCTION/MULTIPLE-PRIME.HTML](https://www.ucop.edu/construction-services/facilities-manual/contract-templates-construction/multiple-prime.html))*

**EXHIBIT H**  
**CONTRACTOR GENERAL CONDITIONS AND SUPPLEMENTARY CONDITIONS**

Refer to UC Facilities Manual for contract templates:

<https://www.ucop.edu/facilities-manual/construction-contract-templates/index.html>

**EXHIBIT I**  
**WRITTEN ORDER (AUTHORIZATION) TO PERFORM SERVICES**

**AUTHORIZATION #**  
**CONSTRUCTION MANAGEMENT AGREEMENT**  
**UC DAVIS HEALTH**  
Project Name  
Project #  
Sub #

Day/Month/Year

**FIRM NAME**  
ADDRESS  
CITY/STATE/ZIP CODE  
(XXX) XXX-XXXX  
(XXX) XXX-XXXX Fax

**AUTHORIZATION NO:**  
**SERVICE TYPE:**  
**SUBJECT:**

---

**DESCRIPTION OF SERVICES AUTHORIZED:**

**AGREEMENT:**

**TIME & AMOUNT AUTHORIZED:**

**APPROVED:**  
UNIVERSITY: THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

**CONSULTANT SIGNATURE:**

BY: \_\_\_\_\_  
Project Manager Date

\_\_\_\_\_  
Firm Name Date

**FUNDS APPROVED BY:**

**AUTHORIZATION FULLY EXECUTED:**

\_\_\_\_\_  
FD&C CAPITAL FINANCE Date

\_\_\_\_\_  
FD&C CAPITAL FINANCE Date

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All Billings must reference the subject project and authorization number or they will be returned.

**DISTRIBUTION:**  
Consultant  
Project Manager  
FD&C Capital Finance  
File

**E-MAIL INVOICES TO:**  
[capitalfinance@health.ucdavis.edu](mailto:capitalfinance@health.ucdavis.edu)  
Capital Finance  
UCD HAS Building  
10850 White Rock Road  
Rancho Cordova, CA 95670

**EXHIBIT J**  
**AMENDMENT NO. { }**

The Agreement between the Regents of the University of California and **{CONSTRUCTION MANAGER}**, dated **{MONTH}** **{DAY}**, **{YEAR}**, to act as a Construction Manager to the UC Davis Health, is hereby amended as follows:

{Facility to Insert modifications to the Agreement. For example, the term of the Agreement may be extended.}

All terms and conditions of this Agreement shall remain in full force and effect unless expressly modified herein or by another duly executed Amendment.

IN WITNESS WHEREOF, the UNIVERSITY and the Construction Manager have executed this Amendment on the **{DAY}** day of **{MONTH}**, **{YEAR}**

**CONSTRUCTION MANAGER:**

**{FIRM NAME}**

By: **{NAME}**

**{TITLE}**

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**UNIVERSITY:**

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

By: Jason Nietupski  
Vice President  
UC Davis Health, Facilities Planning and Development Division

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**EXHIBIT K  
CONFIRMATION OF CERTIFICATION**

For the Consultant and each Sub-consultant, the following must be completed.

Indicate all Business category(ies) that apply by checking the box next to the applicable category(ies), providing the Certification Agency and Certification Number along with attached proof of certification.

<input type="checkbox"/> Small Business Enterprise (SBE) - an independently owned and operated concern certified as a small business by the California Department of General Services Office of Small Business and Disabled Veteran Business Enterprise Services (OSDS) or other accepted certifying agency as listed here. <a href="https://ucop.edu/sbe-dvbe-certifications">https://ucop.edu/sbe-dvbe-certifications</a>  Certifying Agency: _____  Certification Number: _____ (Attach documentation of certification to this form)
<input type="checkbox"/> Disabled Veteran Business Enterprise (DVBE) - an independently owned and operated concern certified as a DVBE by the State of California Office of Small Business and Disabled Veteran Business Enterprise Services (OSDS) or other accepted certifying agency as listed here. <a href="https://ucop.edu/sbe-dvbe-certifications">https://ucop.edu/sbe-dvbe-certifications</a>  Certification Type: _____  Certification Number: _____ (Attach documentation of certification to this form)

The below information is being collected post-award for statistical purposes only. Please check all Business category(ies) that apply:

<input type="checkbox"/> Disadvantaged Business Enterprise (DBE) - a business concern that is at least 51% owned by one or more socially and economically disadvantaged individuals or, in the case of any publicly owned business, at least 51% of the stock of which is owned by such individuals and whose management and daily business operations are controlled by one or more of such individuals. Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as members of a group without regard to their individual qualities. Economically disadvantaged individuals are those socially disadvantaged individuals whose ability to compete in the free private enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged. Business owners who certify that they are members of named groups (Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Asian-Indian Americans) are to be considered socially and economically disadvantaged.
<input type="checkbox"/> Women-Owned Business Enterprise (WBE) - a business concern that is at least 51% owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means being actively involved in the day-to-day management.

I hereby certify under penalty of perjury under the laws of the State of California that I have read this certification and know the contents thereof, and that the business category indicated above reflects the true and correct status of the business. I understand that falsely certifying the status of this business, may result in suspension from participation in University of California business contracts for a period up to five (5) years and the imposition of any civil penalties allowed by law.

INFORMATION FURNISHED BY:

\_\_\_\_\_  
(Print or Type Name of Owner and/or Principal)

\_\_\_\_\_  
(Name of Business or Firm)

a \_\_\_\_\_  
(Insert type of business e.g. corporation, sole proprietorship, partnership, etc.)

By: \_\_\_\_\_

_____ (Print Name)	_____ (Title)
_____ (Signature)	_____ (Date)



**EXHIBIT M**  
**SERVICES SCOPE**

**FIRM NAME**

Value of Work

The scope of services for this contract is restricted to projects with a construction value of under \$70 million dollars. Early studies to identify project scope may identify projects with values that exceed \$70M, but once identified will be removed from the work scope of this contract for further development.

Range of Services

Services to be provided include the full range of Construction Management services from early master planning through Construction Administration. Services could include, but not limited to programming review, estimating, scheduling, constructability review, design support, project management, preparation of bid documents, bid reviews, contractor outreach, construction administration, change order negotiations and project close out.

Scope of Work

Refer to RFQ Ad and Consultant Selection Notification to complete this section.